

**BEFORE THE PUBLIC UTILITY COMMISSION  
OF OREGON**

UM 2264

In the Matter of

PUBLIC UTILITY COMMISSION OF  
OREGON,

Approval of the Fifth Amended and Restated  
Intervenor Funding Agreement.

ORDER

DISPOSITION: ADMINISTRATIVE HEARINGS DIVISION'S  
RECOMMENDATION ADOPTED

At its public meeting on December 27, 2022, the Public Utility Commission of Oregon adopted the Administrative Hearing Division's (AHD) recommendation in this matter. The AHD Report with the recommendation is attached as Appendix A.

BY THE COMMISSION:



A handwritten signature in blue ink, appearing to read "Nolan Moser".

**Nolan Moser**  
Chief Administrative Law Judge

A party may request rehearing or reconsideration of this order under ORS 756.561. A request for rehearing or reconsideration must be filed with the Commission within 60 days of the date of service of this order. The request must comply with the requirements in OAR 860-001-0720. A copy of the request must also be served on each party to the proceedings as provided in OAR 860-001-0180(2). A party may appeal this order by filing a petition for review with the Circuit Court for Marion County in compliance with ORS 183.484.

**PUBLIC UTILITY COMMISSION OF OREGON  
ADMINISTRATIVE HEARINGS DIVISION REPORT  
PUBLIC MEETING DATE: December 27, 2022**

REGULAR   X   CONSENT          EFFECTIVE DATE                      N/A                     

**DATE:** December 13, 2022  
**TO:** Public Utility Commission  
**FROM:** Nolan Moser, Chief Administrative Law Judge **SIGNED**  
**SUBJECT:** UM 2264 – Approval of the Fifth Amended and Restated Intervenor Funding Agreement

**RECOMMENDATION:**

The Commission should approve the Fifth Amended and Restated Intervenor Funding Agreement set forth in Attachment A.

**DISCUSSION:**

Portland General Electric Company (PGE); PacifiCorp, dba Pacific Power; Northwest Natural Gas Company, dba NW Natural; Cascade Natural Gas Corporation; Avista Corporation, dba Avista Utilities; Oregon Citizens’ Utility Board (CUB); and Alliance of Western Energy Consumers (AWEC) have entered into a written agreement to amend and restate the terms of the Fourth Amended and Restated Intervenor Funding Agreement approved in Order No. 18-017. The Fifth Amended and Restated Agreement extends intervenor funding through December 2027, modifies the amounts to be made available in each of the fund accounts, and creates a new fund account.

The agreement is authorized by ORS 757.072, which allows energy utilities to enter into agreements for the financial assistance to organizations representing broad customer interests in Commission proceedings. Under ORS 757.072, the Commission must approve any agreement before any financial assistance is provided.

The agreement continues the three funds from which intervenor funding grants can be made—the CUB Fund, the Preauthorized Matching Fund, and the Issues Fund; while adding a separate account for the Issue Fund entitled the Case-Certified Account. This Case-Certified Account is intended to ensure that a minimum amount of funding is available for those entities that achieve case certification. On occasion in the past, Issue Funds were exhausted in accounts before a case-certified entity could access approved funds. This new account will ensure that a minimum level of funding will be

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December 13, 2022  
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available for case-certified entities even where an Issue Fund account might otherwise be depleted.

The amounts in each fund have been modified as specified in the table below:

	<b>PGE</b>	<b>PACIFIC POWER</b>	<b>NW NATURAL</b>	<b>CASCADE</b>	<b>AVISTA</b>
CUB Fund	\$116,294	\$116,294	\$116,294	\$45,425	\$51,175
Preauthorized Matching Fund	\$81,868	\$81,868	\$81,868	\$42,713	\$42,713
Issue Fund – General Account	\$165,313	\$165,313	\$165,313	\$39,675	\$80,500
Issue Fund – Case-Certified Account	\$10,000	\$10,000	\$10,000	\$2,500	\$5,000

These amounts reflect an approximate 15 percent increase in total funds available annually in the CUB Fund over the 2018 Fourth Amended and Restated Intervenor Funding Agreement (2018 Agreement), and an approximate 12 percent increase in total funds available annually in the Preauthorized Matching Funds over the 2018 agreement. The Issue Fund amounts will increase by approximately 15 percent over the 2018 agreement. In addition, for each Issue Fund General Account and CUB Fund, the annual amount for each calendar year after 2023 and during the term of the agreement shall be increased by 3 percent each year. The Preauthorized Matching Fund and Issue Fund Case-Certified Account will remain the same for each year under the Fifth Amended and Restated Intervenor Funding Agreement.

I recommend the Commission find that the Fifth Restated and Amended Intervenor Funding Agreement serves customers and is in the public interest. The Commission should approve the agreement under ORS 757.072 to allow the provision of financial assistance agreed to by the signatories.

**PROPOSED COMMISSION MOTION:**

The Commission approves the Fifth Restated and Amended Funding Agreement set forth in Attachment A.

**FIFTH AMENDED AND RESTATED  
INTERVENOR FUNDING AGREEMENT**

**BY AND AMONG**

**PORTLAND GENERAL ELECTRIC COMPANY**

**PACIFICORP**

**NORTHWEST NATURAL GAS COMPANY**

**CASCADE NATURAL GAS CORPORATION**

**AVISTA UTILITIES**

**ALLIANCE OF WESTERN ENERGY CONSUMERS**

**OREGON CITIZENS' UTILITY BOARD**

**December 8<sup>th</sup>, 2022**

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**FIFTH AMENDED AND RESTATED  
INTERVENOR FUNDING AGREEMENT**

This Fifth Amended and Restated Intervenor Funding Agreement (the "Agreement"), effective as of January 1, 2023 (the "Effective Date"), is by and among Portland General Electric Company ("PGE"), an Oregon corporation; PacifiCorp ("PacifiCorp"), an Oregon corporation; Northwest Natural Gas Company ("Northwest Natural"), an Oregon corporation; Cascade Natural Gas Corporation ("Cascade"), a Washington corporation; Avista Corporation, dba Avista Utilities ("Avista"), a Washington corporation; Alliance of Western Energy Consumers ("AWEC"), an Oregon nonprofit corporation; and Oregon Citizens' Utility Board ("CUB"), an Oregon nonprofit corporation (collectively, the "Parties"). As of the Effective Date and subject to the condition precedent below, this Fifth Amended and Restated Intervenor Funding Agreement supersedes and replaces the Fourth Amended and Restated Intervenor Funding Agreement, dated January 1, 2018.

**RECITALS**

WHEREAS, the purpose of this Agreement is to make funds available to qualified parties to enable them to advocate on behalf of broad customer interests in proceedings before the Oregon Public Utility Commission (the "Commission");

WHEREAS, AWEC is currently pre-certified under Section 5.2(b); and

WHEREAS, the parties to the Fourth Amended and Restated Intervenor Funding Agreement wish to amend it;

NOW, THEREFORE, in consideration of the foregoing premises and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

**AGREEMENT**

**Article 1**  
**Definitions**

Except as otherwise defined herein, capitalized terms used in this Agreement have the meanings assigned to them as follows:

(a) "AWEC" means the Alliance of Western Energy Consumers, formerly known as the Industrial Customers of Northwest Utilities and the Northwest Industrial Gas Users.

(b) "CUB Fund" has the meaning set forth in Section 4.2.1 of this Agreement.

(c) "CUB Fund Grant" means an Intervenor Funding Grant from one of the CUB Fund accounts.

(d) "Eligible Expense" has the meaning set forth in Section 7.4 of this Agreement.

(e) "Eligible Proceeding for Issue Funds" means a proceeding before the Commission to review:

- i. general rate case request,
- ii. the proposed acquisition or merger of one of the Participating Public Utilities,
- iii. an Integrated Resource Plan, Clean Energy Plan, Distribution System Plan, or Transportation Electrification Plan,
- iv. an annual power cost request (such as PGE's Annual Update Tariff or PacifiCorp's TAM) or a purchase gas adjustment request; or
- v. any other proceeding so designated by the Commission that directly affects one or more of the Participating Utilities and is anticipated to: (1) have a substantial impact on utility rates or service; (2) have a significant impact on utility customers or the operations of the utility; (3) result in a significant change in regulatory policy; or (4) raise novel questions of fact or law.



An Eligible Proceeding for Issue Funds may not include complaint proceedings if one or more of the Participating Intervenors initiates or causes to be initiated the complaint proceeding.

(f) "Eligible Proceedings for Preauthorized Matching Funds" means any Commission proceeding that directly affects one or more of the Participating Utilities, including but not limited to, rulemaking proceedings, declaratory ruling proceedings, and contested case proceeding, but does not include complaint proceedings if one or more of the Participating Intervenors initiates or causes to be initiate the complaint proceeding.

(g) "Intervenor Funding Grant" means any intervenor funding grant made available under the terms of this Agreement.

(h) "Issue Fund" has the meaning set forth in Section 4.2.3 of this Agreement.

(i) "Issue Fund Grant" means an Intervenor Funding Grant from one of the Issue Fund accounts (including grants from either the General or the Case-Certified Issue Fund Accounts).

(j) "Participating Intervenor" or "Participating Intervenors" means AWEC or CUB.

(k) "Participating Public Utility" or "Participating Public Utilities" means Northwest Natural, PacifiCorp, PGE, Cascade or Avista.

(l) "Preauthorized Matching Fund" has the meaning set forth in Section 4.2.2 of this Agreement.

(m) "Preauthorized Matching Grant" means an Intervenor Funding Grant from one of the Preauthorized Matching Fund accounts.

(n) "Public Utility" shall have the meaning set forth in ORS 757.005.

**Article 2**  
**Term**

The Agreement shall be effective beginning on the Effective Date of this Agreement and shall continue in effect until December 31, 2027, unless terminated earlier pursuant to the terms of Article 9 of this Agreement (the "Term").

**Article 3**  
**Condition Precedent**

The obligations and rights of the Parties under this Agreement are subject to the condition precedent that the Commission issue an order (i) approving this Agreement without material modifications and (ii) finding that the intervenor funding program implemented through the Agreement serves customers and is in the public interest.

**Article 4**  
**Scope**

4.1 General. Intervenor funding grants will be made available pursuant to the terms of this Agreement ("Intervenor Funding Grants") as a supplement to the organization's own significant funding of activities before the Commission. Intervenor Funding Grants will not be made available for proceedings involving telecommunications utilities, water utilities, or wastewater utilities unless the proceedings relate to one or more of the Participating Public Utilities.

4.2 Funds and Accounts. There shall be established three funds from which Intervenor Funding Grants can be made under this Agreement: a CUB Fund, a Preauthorized Matching Fund, and an Issue Fund, as each is defined below ("Fund(s)"). The Issue Fund will have two separate accounts: (1) a General Account and (2) a Case-Certified Account.

The following amounts shall be made available in each account for 2023:

	PGE	PACIFICORP	NW NATURAL	CASCADE	AVISTA
CUB Fund	\$116,294	\$116,294	\$116,294	\$45,425	\$51,175
Preauthorized Matching Fund	\$81,868	\$81,868	\$81,868	\$42,713	\$42,713
Issue Fund – General Account	\$165,313	\$165,313	\$165,313	\$39,675	\$80,500
Issue Fund – Case-Certified Account	\$10,000	\$10,000	\$10,000	\$2,500	\$5,000

For each remaining calendar year during the Term of this Agreement, annual amounts for each Preauthorized Matching Fund and each Issue Fund – Case-Certified Account shall be the same as the amount shown above for the calendar year 2023. For each CUB Fund and Issue Fund – General Account, the annual amount for each calendar year after 2023 and during the Term shall be increased by 3% each year. Such amounts reflect the annual amount made available in each account (the "Annual Grant Amount"). During the Term, the Annual Grant Amounts shown and as calculated above may be supplemented pursuant to the terms of Sections 4.3 and 4.4 below.

4.2.1 CUB Fund. This fund will be established for use by CUB for expenses arising from its representation of utility customers as provided by ORS 774.030 in regulatory proceedings before the Commission, including, but not limited to, general operations, overhead, or the hiring of personnel or consultants. CUB may not use grants from the CUB Fund for political activities or fund raising. There will be five CUB Fund accounts, one for each of the Participating Public Utilities. For each calendar year during the Term of this Agreement, the Annual Grant Amount will be the amount shown in, or as calculated pursuant to, Section 4.2 above, which may be supplemented under the terms of Sections 4.3 and 4.4 below.

4.2.2 Preauthorized Matching Fund. There shall be five Preauthorized Matching Fund accounts, one for each of the Participating Public Utilities. For each calendar year during the Term of this Agreement, the Annual Grant Amount shall be the amount shown in, or as calculated pursuant to, Section 4.2 above for each of the Preauthorized Matching Fund accounts, which may be supplemented under the terms of Sections 4.3 and 4.4 below.

Preauthorized Matching Grants shall be provided only if the applicant demonstrates that it has provided matching grants pursuant to the terms of Section 7.2 of this Agreement. Preauthorized Matching Grants shall be limited to the amounts available in the Preauthorized Matching Fund accounts from which funding is sought. A Preauthorized Matching Grant may be used solely to pay Eligible Expenses for Eligible Proceedings for Preauthorized Matching Funds involving the Participating Public Utility that is the account holder. For example, Preauthorized Matching Grants from the PGE account may be used solely to pay Eligible Expenses for Eligible Proceedings for Preauthorized Matching Funds involving PGE. Preauthorized Matching Fund accounts will be available for use exclusively by AWEC according to the terms of this Agreement. An intervenor that is decertified pursuant to the terms set forth in Section 8.1 below may not receive Preauthorized Matching Grants. If AWEC is decertified, the Commission may either transfer the balances from the Preauthorized Matching Fund accounts into the corresponding Participating Public Utility Issue Fund accounts or commence a proceeding to select another intervenor to use the Preauthorized Matching Grant previously available to AWEC.

4.2.3 Issue Fund. There shall be an Issue Fund for each of the Participating Public Utilities. Each Issue Fund will have a General Account and a Case-Certified Account. For each calendar year during the Term of this Agreement, the Annual Grant Amount for each account

shall be the amount shown in Section 4.2 above. Receipt of grants from an Issue Fund shall be subject to the matching fund requirements specified in Sections 6.5 and 7.3 below. The Annual Grant Amount for the Issue Fund General Accounts, but not for the Issue Fund Case-Certified Accounts, may be supplemented pursuant to the terms set forth in Section 4.3 below. The Commission will authorize Issue Fund Grants pursuant to the criteria and process set forth in Article 6 and Article 7 below. Issue Fund Grants shall be limited to the amount available in the Issue Fund account from which funding is sought. An Issue Fund Grant may be used solely to pay Eligible Expenses for Eligible Proceedings for the Issue Fund involving the Participating Public Utility that is the account holder. For example, Issue Fund Grants from the PGE Issue Fund account may be used solely to pay Eligible Expenses for Eligible Proceedings for Issue Funds involving PGE. Except for grants from Case-Certified Accounts, Participating Intervenors may not be denied Issue Fund Grants on the grounds that they will receive CUB Fund Grants or Preauthorized Matching Grants under this Agreement.

4.3 Rollover.

- (a) A balance in any of the CUB Fund accounts, Preauthorized Matching Fund Accounts, or Issue Fund – General Accounts that is unused in any year during the Term of the Agreement will be carried over at the end of the calendar year and made available for use in succeeding years, subject to the limitations identified in this Section 4.3 (the "Rollover"). A balance in the any of the Issue Fund Case-Certified Accounts that is unused in any year during the Term will not be carried over at the end of the calendar year and may not be made available for use in succeeding years. The amount of the Rollover that may be used in any calendar year during the Term shall not exceed the Annual Grant Amount associated with

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the applicable account set forth in Section 4.2 of this Agreement. For example, no more than \$165,313 may be rolled over in one year in PacifiCorp's Issues Fund General Account and no more than \$81,868 may be rolled over from 2023 to 2024 for PGE's Preauthorized Matching Fund Account.

- (b) If AWEC submits a request for payment of an Issue Fund Grant from a Participating Public Utility under Section 7.3 of this Agreement in any calendar year (the "Submittal Year"), and if by April 1 of the subsequent year AWEC requests for payment of Preauthorized Matching Grants filed under Section 7.2 of this Agreement do not exhaust the applicable Preauthorized Matching Fund of the same Participating Public Utility for the Submittal Year (including, but not limited to, any amounts in the applicable Preauthorized Matching Fund that were rolled over into the Submittal Year), the amount of funds authorized to be paid under the requests for payment of Issue Fund Grants filed by AWEC in the Submittal Year will be deducted from the amount of the Rollover in the applicable Preauthorized Matching Fund carried over from the Submittal Year. The resulting Rollover will not be less than zero. The amount of funds available in the applicable Issue Fund shall not increase based on the deduction from, or elimination of, the Rollover amount through the operation of this subsection. The intended effect of this subsection is illustrated by the examples attached as Exhibit A to this Agreement.
- (c) Amounts available in a Preauthorized Matching Fund for a calendar year shall continue to be shown as available into the subsequent year until the April 1 deadline for requests for payment to be submitted under Section 7.2 of this

Agreement and for Intervenors' reports to be submitted under Section 6.8 of this Agreement. The Rollover from year-end balances in a Preauthorized Matching Fund, including any adjustments made pursuant to subsection (b) of this Section, shall be determined after April 1 of the subsequent year.

4.4 Advance. AWEC and CUB may request to use in any calendar year during the Term amounts that would otherwise be available in the respective CUB Fund accounts or Preauthorized Matching Fund accounts in the next year, subject to the limitations identified in this Section and Sections 7.1 and 7.2 of the Agreement (an "Advance"). An Advance will not be available from any of the Issue Fund – General Accounts or the Issue Fund – Case-Certified Accounts. However, the Commission may approve an Issue Fund Grant from funds that will be made available in the next calendar year when the proceeding for which the Issue Fund Grant is sought is expected to continue into that year and funds in the current year Issue Fund are inadequate to provide the level of Issue Fund Grants that the Commission determines is appropriate. An Advance may not be used in the last year of the Term of this Agreement. An Advance shall have the effect of reducing by the amount of the Advance the Annual Grant Amount that would otherwise be made available in the next year in the applicable Preauthorized Matching Fund or CUB Fund account. The amount of the Advance that may be used in any calendar year during the Term shall not exceed the Annual Grant Amount associated with the applicable account set forth in Section 4.2 of this Agreement. For example, no more than \$81,868 may be advanced (on a combined basis) for PGE's Preauthorized Matching Fund Account during 2023 from amounts in the Preauthorized Matching Fund that would otherwise be made available for 2024.

4.5 Unused Balances. The unused balances in Fund accounts shall be eliminated upon the termination of this Agreement (whether as a result of a termination pursuant to Section 9.1 below or at the end of the Term), except that certain expenditures incurred pursuant to a Commission-authorized Intervenor Funding Grant awarded before termination may be reimbursed according to the terms specified in Section 9.3 below. Unused balances in Fund accounts at the end of 2022 may be rolled over to 2023, provided that any amount so rolled over in an Issue Fund account is subject to the limitations in subsection 4.3(a) above and any amount so rolled over in an AWEC Preauthorized Matching Fund account is subject to the limitations in subsections 4.3(a), (b) and (c) above.

**Article 5**  
**Issue Fund Grant Eligibility (Precertification and Case-Certification)**

5.1 General. Only parties that are pre-certified, or parties who become case-certified for a particular proceeding, will be eligible to receive grants from the Issue Fund -- General Account. Only parties who are case-certified will be eligible to receive grants from the Issue Fund – Case-Certified Accounts. CUB, AWEC, and any pre-certified parties shall be ineligible to receive grants from any Participating Utility’s Issue Fund – Case-Certified Account. The Commission will provide the opportunity to submit applications for precertification at least once every 12 months during the Term of the Agreement. The Commission will make best efforts to act on such applications within 30 days of the date when such applications are submitted. Once pre-certified, an organization will remain pre-certified for the Term of this Agreement unless the Commission terminates the precertification under Section 8.1 of this Agreement.

5.2 Pre-certification. The Commission will pre-certify organizations meeting the criteria of paragraph (a) or (b) of this Section as eligible to receive Issue Fund Grants.

- (a) The Citizens Utility Board, as a representative of residential customers;



- (b) Not for profit organizations that meet all of the following criteria:
- (1) A primary purpose of the organization is to represent utility customers' interests on an ongoing basis;
  - (2) The organization represents the interests of a broad group or class of customers and those interests are primarily directed at public utility rates and terms and conditions of service affecting that broad group or class of customers, and not narrow interests or issues that are ancillary to the representation of the interests of customers as consumers of utility services;
  - (3) The organization demonstrates that it is able to effectively represent the particular class of customers it seeks to represent;
  - (4) The organization's members who are customers of one or more of the Participating Public Utilities contribute a significant portion of the overall support and funding of the organization's activities in the state; and
  - (5) The organization has demonstrated in past Commission matters the ability to substantively contribute to the record on behalf of customer interests.

5.3 Case-Certification. Organizations meeting all of the following criteria may be case certified by the Commission to be eligible to receive an Issue Fund Grant:

- (a) The organization is (i) a not-for-profit organization; or (ii) demonstrates it is in the process of becoming a nonprofit corporation; or (iii) is comprised of multiple customers of one or more Participating Public Utilities and demonstrates that a

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primary purpose of the organization is to represent broad utility customer interests.

- (b) The organization represents the interests of a broad group or class of customers and its participation in the proceeding will be primarily directed at public utility rates and terms and conditions of service affecting that broad group or class of customers, and not narrow interests or issues that are ancillary to the impact of the rates and terms and conditions of service to the customer group;
- (c) The organization demonstrates that it is able to effectively represent the particular class of customers it seeks to represent;
- (d) The organization's members who are customers of one or more of the Participating Public Utilities affected by the proceeding contribute a significant percentage of the overall support and funding of the organization;
- (e) The organization demonstrates, or has demonstrated in past Commission proceedings, the ability to substantively contribute to the record on behalf of customer interests related to rates and the terms and conditions of service, including in any proceeding in which the organization was case-certified and received an Intervenor Funding Grant;
- (f) The organization demonstrates that (1) no pre-certified intervenor participating in the proceeding adequately represents the specific interests of the class of customers represented by the organization related to rates and terms and conditions of service; or (2) that the specific interests of a class of customers will benefit from the organization's participation; and

- (g) The organization demonstrates that its request for case-certification will not unduly delay the schedule of the proceeding.

**Article 6**  
**Issue Fund Grant Request Procedures**

6.1 Eligible Proceedings. Requests for an Issue Fund Grant may be made only in an Eligible Proceeding for Issue Funds.

6.2 Notice of Intent to Request an Issue Fund Grant. Any potential intervenor seeking an Issue Fund Grant must file a notice of intent to request an Issue Fund Grant ("Notice of Intent") when it submits its petition to intervene or notice of intervention in the matter or, for matters that do not involve a formal intervention, at such other time as the Commission designates. For proceedings under Article 1(e)(v) requiring a Motion to Declare as an Eligible Proceeding for Issue Funds, a Notice of Intent must be filed within 30 days of the Commission ruling upon such motion. The Notice of Intent must be served on each affected Participating Public Utility, all pre-certified organizations, and all parties of record in the proceeding or, if no such list has been established, to such other persons as the Commission designates. The Notice of Intent must identify the Participating Public Utility account or accounts from which the intervening party intends to request an Issue Fund Grant. An intervenor that is not pre-certified must apply for case-certification on or before the time it submits its Notice of Intent. The Commission will make all reasonable efforts to act on requests for case-certification at least 14 days before the time set for pre-certified and case-certified intervenors to submit proposed budgets. To help the Commission rule on a motion for case-certification, the Commission may request pre-certified intervenors to provide a response to the motion on whether the application meets the requirements set forth in Section 5.3.

6.3 Proposed Budgets. Pre-certified and case certified intervenors seeking an Issue Fund Grant must submit, on a standardized form approved by the Commission, a proposed budget to the Commission along with such other information as the Commission may require to consider the request. A proposed budget must include: (a) a statement of work to be performed by the applicant for which the applicant is seeking an Issue Fund Grant; (b) a description of the areas to be investigated by the intervenor; (c) a description of the particular customer class or classes that will benefit from the intervenor's participation; (d) identification of the specific account or accounts from which the intervenor is seeking an Issue Fund Grant and an estimate of the amount of available funds in that account; (e) a budget showing estimated attorney fees, which may include the cost for appropriate support staff and operational support; (f) a budget showing estimated consultant fees and expert witness fees, which may include the cost for appropriate support staff and operational support; and (g) a representation that the intervenor will use matching funds in the form of either in-house resources or outside funding to account for or pay at least 20% of the Eligible Expenses for the work to be performed for which the intervenor is seeking an Issue Fund Grant. The deadline for submitting proposed budgets will be 30 days after the prehearing conference at which the schedule for the proceeding is established or by such other date as the Commission designates. Proposed budgets shall be served on the Commission, the affected Participating Utility, all pre-certified organizations, all parties of record in the proceeding, and such other persons as the Commission designates. An intervenor may submit a combined proposed budget for related proceedings that are being considered concurrently by the Commission. In proceedings with multiple phases, proposed budgets should encompass work to be performed for the initial phase of the proceeding. In the event the proceeding continues beyond the initial phase, the Commission will establish a schedule for intervenors to submit

proposed budgets for any later phase(s) of the proceeding. If the intervenor expects to incur Eligible Expenses in an Eligible Proceeding for Issue Funds in more than one calendar year, the proposed budget may seek an Issue Fund Grant from funds that will be made available in the next calendar year. In such cases, the proposed budget should identify the amount of funds requested from each year's fund.

6.4 Additional Information. The Commission may seek additional information concerning proposed budgets. The Commission will make best efforts to act upon proposed funding budgets, within 14 days of receiving the proposed budgets or, if applicable, any supplemental information provided in response to the Commission's request.

6.5 Commission Decision. If the Commission receives one or more Notices of Intent and one or more proposed budgets, then the Commission will determine the amount, if any, of Issue Fund Grants that will be made available for the Eligible Proceeding for Issue Funds and the allocation of that amount among the intervening parties. The Commission may make these determinations based upon the following factors: (a) the breadth and complexity of the issues; (b) the significance of any policy issues; (c) the procedural schedule; (d) the dollar magnitude of the issues at stake; (e) the participation of other parties that adequately represent the interests of customers; (f) the amount of funds being provided by the applicant intervenor; (g) the qualifications of the party and experience before the Commission; (h) the level of available funds in the Fund account or accounts involved; and (i) other Eligible Proceedings for Issue Funds in which intervenors may seek additional Issue Fund Grants from the same Fund account or accounts. The Commission shall condition Issue Fund Grants on the intervenor providing evidence that the intervenor has used in-house resources or outside funding to account for or pay at least 20% of the Eligible Expenses for the work to be performed in the proceeding for which

the intervenor is seeking an Issue Fund Grant. The Commission may deny, in whole or in part, a request for an Issue Fund Grant based on the above criteria and requirements. The Commission may place reasonable conditions on Issue Fund Grants, including but not limited to requiring that intervenors use in-house resources or outside funding to account for or pay more than 20% of the Eligible Expenses for the work to be performed in the proceeding for which the intervenor is seeking an Issue Fund Grant. Except as provided in this Section, an Issue Fund Grant shall constitute a binding obligation on the Commission to order reimbursement of Eligible Expenses subject to satisfaction of any conditions imposed on the Issue Fund Grant and the requirements set forth in Article 7 and Article 8 below. The Commission may amend an Issue Fund Grant if it finds that there has been a material change in the breadth and complexity of the issues, the significance of the policy issues, or the dollar magnitude at stake, such that the initial Issue Fund Grant is no longer warranted. If the Commission amends an Issue Fund Grant, it will provide notice to the affected recipient(s) of such Issue Fund Grant and afford an opportunity to comment and provide a revised budget. A Commission amendment of an Issue Fund Grant shall take effect on a prospective basis only. Eligible Expenses incurred or accrued before the Commission amendment will be reimbursed according to the terms set forth in Article 7 below notwithstanding the Commission amendment of the Issue Fund Grant.

6.6 Cooperation. Any pre-certified and case-certified parties shall make all reasonable efforts to enter into agreements with each other at any time, including before submitting proposed budgets or after receiving Issue Fund Grants, to combine their efforts and resources in a case. Such cooperative efforts shall not affect the amount of their Issue Fund Grants except those amounts paid under a grant may not exceed actual allowable expenses.

6.7 Amendment of Proposed Budget. At any time during the proceeding, an intervenor who received Commission approval for an Issue Fund Grant may file to amend its budget and request additional funding due to unforeseen changes in the scope or complexity of issues, positions taken by other parties, changes in the schedule of the case, or other good cause. An intervenor with approved proposed budgets in multiple dockets may request to reallocate approved amounts between dockets by filing a request in both dockets. Such a request must identify the previously approved proposed budget amounts, the proposed budget amounts for each docket after reallocation of funds and explain the purpose for the requested reallocation. Any request for reallocation must meet all applicable requirements under Section 6.3 of this Agreement. The Commission may seek additional information concerning a proposed budget amendment. The Commission will make best efforts to act upon the request within 14 days of receiving the proposed amendment or, if applicable, any supplemental information provided in response to the Commission's request.

6.8 Intervenors' Reports.

- (a) On or before each April 1 during the Term of this Agreement, each intervenor who had, during the prior calendar year, a continuing or newly approved Issue Fund Grant or pending proposed budget for an Issue Fund Grant request shall file a report with the Commission showing, as of December 31 of the prior calendar year for each Issue Fund, their budget request pending approval, approved budget amounts, requested payments, payments received, amounts actually spent on consultants or expert witnesses fees and travel expenses for proceedings in which the intervenor received an Issue Fund grant, and a statement indicating whether any of their approved budget amounts for an Issue Fund Grant may be released

back to the applicable Issue Fund because the intervenor does not intend to use the full approved amount.

- (b) AWEC shall file on or before April 1 and October 1 of each year during the Term of this Agreement a report with the Commission showing payments from the Preauthorized Matching Funds applicable to that intervenor for the most recent period available, requests for payment pending, and the current balance of each applicable fund as of December 31 of the prior calendar year.
- (c) To ensure that the organization's respective members contribute a significant portion of the organization's funding of activities before the Commission for which the organization seeks intervenor funding, any intervenor who received an Intervenor Funding Grant shall also include in its report filed pursuant to subsections (a) or (b) of this Section the following: (1) a statement showing the total expenditures the intervenor incurred participating in all proceedings affecting the Participating Public Utilities before the Commission in each of the prior five calendar years; and (2) a statement showing the amount of expenditures the intervenor incurred in participating in each proceeding affecting the Participating Public Utilities before the Commission for which it requested payment of a grant from an Issue Fund or Preauthorized Matching Fund in the prior calendar year.
- (d) Information in the reports may be designated as confidential and protected from public disclosure to the maximum extent possible under the Oregon Public Records Law (ORS 192.410 et. seq.).



**Article 7**  
**Payment of Grants**

7.1 Payment of CUB Fund Grants. Upon request by CUB, the Commission will direct the Participating Public Utilities to pay the amounts made available for CUB Fund Grants pursuant to the terms of this Agreement. The Participating Public Utilities shall pay the amount authorized by the Commission no later than 30 days after receipt of the Commission directive. Each October 1 during the Term, CUB will file with the Commission a statement setting forth the manner in which the CUB Fund Grant was spent, including information sufficient to show that the funds were spent in a manner consistent with the terms of Article 4 above. CUB will serve a copy of the statement it files under this Section on the applicable Participating Public Utility.

7.2 Payment of Preauthorized Matching Grants. Subject to the limitations identified in Sections 4.3 and 4.4, AWEC may file a request for payment of the Preauthorized Matching Grants but a request must be filed on or before April 1 or October 1 of each year in accordance with Section 6.8(b). Such a request must be filed with the Commission and served on the Participating Public Utility from whose account payment is requested and must include documentation sufficient to show that the intervenor has used in-house resources or outside funding for at least 35% of the Eligible Expenses for an Eligible Proceeding for Preauthorized Matching Funds. A request for payment under this Section must show that the Preauthorized Matching Grant will be used to pay Eligible Expenses for an Eligible Proceeding for Preauthorized Matching Funds. Within 30 days of receiving a request for payment under this Section, the Commission will make best efforts to act upon the request. The Commission will grant the request and order the applicable Participating Public Utility to pay the Preauthorized Matching Grant if the intervenor satisfies the requirements of this Section and Section 7.5 below,

and if granting the request is consistent with the terms of Article 4 above. Details of requests for payment under this Section may be designated as confidential and protected from public disclosure to the maximum extent possible under the Oregon Public Records Law (ORS 192.410 et. seq.). Such a confidential designation shall not excuse service of the request on the applicable Participating Public Utility or prevent the applicable Participating Public Utility from reviewing the request. The applicable Participating Public Utility shall pay the Preauthorized Matching Grant to the applicable Participating Intervenor within 30 days after receipt of the Commission directive. A form for requests for payment under this Section is attached as Exhibit B.

7.3 Issue Fund Grant Request for Payment. In order to receive payment of an Issue Fund Grant, an intervenor must submit a request for payment of Eligible Expenses to the Commission and serve a copy on the Participating Public Utility from whose account payment is to be made (a "Request for Payment"). A Request for Payment may be made at any time during an Eligible Proceeding for Issue Funds, but no later than 60 days after the Commission's final order issued in the Eligible Proceeding for Issue Funds has become final and non-appealable. Any intervenor who fails to timely request payment of Eligible Expenses will be deemed to have released any remaining allocated funds back to the applicable Issue Fund. The Request for Payment must:

- (a) Itemize the expenses, payees and hourly rates for amounts to be reimbursed, including billing details, and including separately identified amounts for consultant or expert witness fees and travel expenses;
- (b) Demonstrate that the expenses are reasonable and are directly attributable to issues and positions pursued on behalf of a particular customer class and consistent with the intervenor's proposed budget;

- (c) Provide information sufficient to show that the intervenor has complied with any condition or requirement of the Issue Fund Grant, including, but not limited to, documentation sufficient to show that the intervenor has satisfied the matching fund requirement (i) set forth in Section 6.5 above or (ii) as the Commission may establish as a reasonable condition on Issue Fund Grants, whichever applies; and
- (d) Specify whether the request for payment is for a progress payment or final payment in full and indicate whether any approved budget amount may be released back to the applicable Issue Fund because the intervenor does not intend to request payment for the full approved budget amount.

Details of requests for payment under this Section may be designated as confidential and protected from public disclosure to the maximum extent possible under the Oregon Public Records Law (ORS 192.410 et. seq.). Such a confidential designation shall not excuse service of the request on the applicable Participating Public Utility or prevent the applicable Participating Public Utility from reviewing the request. A form for requests for payment under this Section is attached as Exhibit C.

7.4 Eligible Expenses. Intervenor expenses eligible for funding under an Intervenor Funding Grant ("Eligible Expenses") will include:

- (a) Actual attorney and consultant fees, whether in-house or for outside services, directly attributable to participation in the proceeding;
- (b) Expert witness fees;
- (c) Apportioned wages for in-house staff (professional and clerical) directly related to participation in the proceeding;

- (d) The cost of preparing and copying studies, data request responses and other discovery materials, exhibits, testimony, briefs and other filings in the proceeding;
- (e) Travel costs directly related to participation in the proceeding;
- (f) Costs of acquiring studies or supplies directly related to the proceeding or court report fees and transcripts; and
- (g) Costs of participation in workshops and other informal Commission activities prior to the institution of an Eligible Proceeding for Preauthorized Matching Funds or Issue Funds as the case may be.

7.5 General Operation Expenses Excluded. Except as otherwise provided in Section 4.2.1 above, expenses for general operations, overhead, membership recruitment, fundraising, or communication with members, even if specifically related to the proceeding for which the Intervenor Funding Grant was approved, will not be eligible for funding under an Intervenor Funding Grant.

7.6 Commission Review and Action. Within 30 days of receiving a Request for Payment of an Issue Fund Grant, the Commission makes best efforts to review the sufficiency of the request and act upon it. The Commission may disallow a request for payment, in whole or in part, if it determines that the request seeks reimbursement for (a) expenses that are not Eligible Expenses, or (b) expenses that are inconsistent with the intervenor's Issue Fund Grant or any conditions placed on the Issue Fund Grant. The Commission shall not award a Request for Payment if the intervenor fails to show that it has satisfied the matching fund requirements set forth in Sections 6.5 and 7.3 above. The Commission will notify the intervenor submitting a Request for Payment and the Participating Public Utility from whose account payment is requested, of the following: (a) the amount of payment approved, (b) the Fund account or

accounts from which payment is to be made, and (c) the allocation of the payment amount between the classes of customers. The Commission may not award a Request for Payment in excess of the amount of the applicable Issue Fund Grant, including any budget amendments approved by the Commission.

7.7 Customer Class Allocation. The Commission will make a determination in each proceeding as to how to recover the Intervenor Funding Grants from the various customer classes of the affected Participating Public Utility or Utilities:

- (a) In a proceeding involving more than one Participating Public Utility, the Commission will apportion the payment among the affected Participating Public Utilities. Criteria for making this allocation may include the relative gross revenue of the utilities, load, or other such factors as the Commission determines to be relevant to the particular matter.
- (b) Intervenor expenditures pursuant to an Intervenor Funding Grant and made on behalf of a particular customer class will be charged to and paid for by that customer class. CUB Fund Grants shall be allocated and charged to residential customers. Preauthorized Matching Grants shall be allocated and charged to industrial customers. Issue Fund Grants used to advocate positions on behalf of a broad cross-section of customers may be assessed against all customers or multiple classes of customers, as determined by the Commission, so as to fairly align the costs of the advocacy with the intended potential beneficiaries of the advocacy, regardless of actual outcome of the case. The determination may result in a combination of both class-specific assessments and general assessment to all

customer classes based on the expenses incurred for the benefit of various classes in a case, regardless of which intervenors incurred such expenses.

7.8 Participating Public Utilities' Payment of Intervenor Funding Grants. The Participating Public Utility or Utilities will pay intervenors the Intervenor Funding Grants as directed by the Commission pursuant to Section 7.6 above. Such payment(s) will be made within 30 days of receiving the notice of approval from the Commission.

7.9 Recovery of Intervenor Funding Grants. The Commission shall allow the Participating Public Utilities to recover in rates all amounts paid for Intervenor Funding Grants under this Agreement. If a Participating Public Utility seeks rate recovery through a deferred account, the account and amortization of the account shall be exempt from the amortization caps and earnings test set forth in subsections 5, 6, 7, 8 and 10 of ORS 757.259, as such subsections may be amended from time to time and shall not be included in any calculation of the amortization cap for other deferred accounts. Amounts in any deferred account under this Section will include carrying costs at the Participating Public Utility's authorized cost of capital. If the applicable Eligible Proceeding for Issue Funds results in a change of rates, Issue Fund Grants should be incorporated into rates at the same time as the rate change is made. For Issue Fund Grants that are not recovered in the Eligible Proceeding for Issue Funds in which the funds were expended or in the case of the recovering of CUB Fund Grants and Preauthorized Matching Grants, the timing and amortization period for recovering of such Intervenor Funding Grants will be left to the discretion of the Participating Public Utility, subject to Commission approval.

7.10 Audits. The Commission may audit the relevant, not privileged, records of any intervenor submitting a Request for Payment or submitting a request for payment under Sections 7.1 or 7.2 above as necessary to verify the accuracy of the information provided in the Request

for Payment or the request under Sections 7.1 or 7.2 above, or to confirm the accuracy of an Intervenor's report filed pursuant to Section 6.8.

7.11 Delegation. The Commission may delegate its authority set forth in Sections 7.6 and 7.7 to any Commission employee or category of employees. If the Commission delegates this authority, the delegatee's decisions may be appealed to the Commission.

**Article 8**  
**Termination of Eligibility**

8.1 Termination of Eligibility. Upon the filing of a complaint pursuant to ORS 756.500 or upon a Commission investigation or motion pursuant to ORS 756.515, the Commission may terminate the precertification or case-certification of an intervenor if it finds that:

- (a) The organization has committed fraud, misrepresentation, or misappropriation related to an Intervenor Funding Grant;
- (b) In a proceeding before the Commission for which intervenor funding grants were awarded to the organization, the organization has failed to represent the interests of the broad class of customers that the organization purported to represent in its application for pre-certification;
- (c) The organization has failed to comply with Commission orders or rules in a material way;
- (d) A Participating Intervenor has violated the obligations set forth in Section 10.1 below;
- (e) For CUB, there has been a substantial change in or repeal of ORS 774.101 to 774.990; or
- (f) A pre-certified organization other than CUB no longer meets the criteria of Section 5.2 of this Agreement.

A Participating Intervenor that is decertified pursuant to Section 8.1(d) above will be ineligible for precertification or case-certification for the Term of this Agreement.

8.2 Effect of Termination of Eligibility. In the event of termination of the precertification or case-certification of an intervenor, such termination shall take effect on a prospective basis only. Intervenor that have been decertified may not receive Intervenor Funding Grants except as provided in this Section. Intervenor that have been decertified may recover Eligible Expenses incurred pursuant to a Commission authorized Intervenor Funding Grant and incurred before decertification, subject to satisfaction of the requirements set forth in Article 7 of this Agreement.

## **Article 9** **Termination**

9.1 Termination. A Party may terminate this Agreement if any one or more of the following events occur:

- (a) In an order, the Commission rejects all or a material part of this Agreement or adds a condition that has a material effect on the terms and conditions of this Agreement;
- (b) The Commission repeals or amends a material part of the rules implementing this Agreement;
- (c) There is a repeal or material change in the statutory provision enabling intervenor funding;
- (d) Any of the following are enacted through legislation, ballot measure or formal action of the Commission:
  - (1) An alternative intervenor funding program affecting one or more of the Participating Public Utilities;



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- (2) Changes in the method by which Public Utilities recover expenses incurred in regulatory proceedings if such changes prohibit or limit a Public Utility's ability to recover such expenses through rates;
- (3) The creation of an elected Commission;
- (4) Any action that makes intervenor funding unnecessary, including:
  - a. The creation of a consumer advocate staff or agency;
  - b. A change in the role of Commission Staff that is materially different from the statement adopted in the Commission's current Internal Operating Policy Guidelines; or
  - c. Any change similar to a. and b. above.

9.2 Notice. A Party terminating this Agreement shall give the other Parties and the Commission 30 days advance written notice. Such termination will become effective only upon a determination by the Commission that the Party has a valid basis pursuant to Section 9.1 above to terminate the Agreement. A notice under this Section shall not terminate the rights and obligations among the remaining Parties under this Agreement.

9.3 Discharge of Obligations Upon Termination. If this Agreement is terminated pursuant to this Article, the terminating Party shall be released and discharged from any obligations arising or accruing under this Agreement from and after the date of such termination. Termination of this Agreement (under this Section or at the end of the Term of this Agreement) shall not discharge or relieve any Party from any obligations or liabilities which may have accrued under the terms of this Agreement before such termination. In particular, the Commission shall require the Participating Public Utility or Utilities to pay Eligible Expenses incurred under a Commission-authorized Intervenor Funding Grant that was awarded before the

date of termination, subject to satisfaction of the requirements of Article 7. The Commission shall permit Participating Public Utilities to recover in rates any such authorized intervenor expenditures. If any Participating Public Utility has not recovered all of its payments of Intervenor Fund Grants under this Agreement by the end of the Term or the date on which the Agreement is terminated, the Commission shall permit the Participating Public Utility to recover such amounts after the Term of this Agreement or after the termination date.

**Article 10**  
**Miscellaneous**

10.1 Regulatory Information. Each Participating Public Utility will make available to the Participating Intervenors, for each significant regulatory proceeding in which it participates, the amounts each spent for outside costs (attorneys, experts, and consultants) and a good faith estimate of the internal costs for the Participating Public Utility's participation in the proceeding (the "Regulatory Expense Estimates"). Each Participating Public Utility will make available its Regulatory Expense Estimates no later than each March 1 during the Term for applicable regulatory proceedings completed in the previous calendar year. Participating Intervenors may use the information contained in the Regulatory Expense Estimates solely for the purposes of (i) evaluating the intervenor funding program before the Commission or (ii) supporting requests for Issue Fund Grants or supporting proposed budgets submitted under Section 6.3 above.

Without the advance written consent of the applicable Participating Public Utility, a Participating Intervenor may not use the Regulatory Expense Estimates for any other purpose or disclose the Regulatory Expense Estimates to any third party. The obligations of this Section with respect to a Participating Intervenor's use of information are inapplicable to information that the Participating Intervenor receives in any manner other than by virtue of the Regulatory Expense Estimates. By March 1, 2027, each Participating Public Utility will submit to the Commission

copies of all Regulatory Expense Estimates it has provided during the preceding five calendar years.

10.2 Dispute Resolution. The Parties agree to confer and make a good faith effort to resolve any dispute arising under this Agreement before bringing an action or complaint to the Commission or any court with respect to such dispute.

10.3 Parties' Cooperation and Support. The Parties shall file this Agreement with the Commission. The Parties agree to support this Agreement before the Commission and before any court in which the Agreement is considered. The Parties agree to support the Commission's adoption and issuance of rules necessary to implement the terms of this Agreement.

10.4 Enforcement. The Parties agree that the Commission may enforce the terms of the Agreement in the same manner as the enforcement of a Commission order. To the extent the Commission lacks authority to enforce or compel performance of particular terms of this Agreement, the Parties may seek enforcement in a court of competent jurisdiction of the State of Oregon. The jurisdiction over this Agreement of the Commission and the courts in the State of Oregon shall be exclusive.

10.5 Jury Waiver. To the fullest extent permitted by law, each of the Parties waives any right it may have to a trial by jury in respect of litigation directly or indirectly arising out of, under or in connection with this agreement. Each Party further waives any right to consolidate, or to request the consolidation of, any action in which a jury trial has been waived with any other action in which a jury trial cannot be or has not been waived.

10.6 Counterparts. The Agreement may be signed in any number of counterparts, each of which will be an original for all purposes, but all of which taken together will constitute only one agreement.

10.7 Entire Agreement. This Agreement supersedes any and all oral or written agreements and understandings made relating to intervenor funding to be made available by the Participating Public Utilities and constitutes the entire agreement and understanding of the Parties.

10.8 Successors. The terms and provisions of this Agreement and the respective rights and obligations of the Parties under this Agreement shall be binding upon and inure to the benefit of the Parties and their respective successors.

10.9 No Assignment. The benefits and obligations of this Agreement may not be assigned or transferred without the written consent of each of the other Parties and Commission approval.

10.10 Amendments. No amendment or modification of the terms of this Agreement shall be binding on any Party unless reduced to writing and signed by all Parties.

10.11 Governing Law. This Agreement shall be governed and construed in accordance with the laws of the State of Oregon, without regard to principles of choice of law.

IN WITNESS WHEREOF, the Parties have executed this Agreement to be effective as of  
the day and year first above written.

PORTLAND GENERAL ELECTRIC  
COMPANY

DFW



By: Brett Sims  
Its: Vice President Strategy Regulation &  
Energy Supply

NORTHWEST NATURAL GAS COMPANY

  
Zach Kravitz (Dec 7, 2022 11:36 PST)

By: Zach Kravitz  
Its: Vice President, Rates & Regulatory Affairs

AVISTA UTILITIES

  
David Meyer (Dec 8, 2022 13:02 PST)

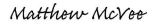
By: David Meyer  
Its: Vice President & Chief Counsel for  
Regulatory & Governmental Affairs

OREGON CITIZENS' UTILITY BOARD

  
Bob Jenks (Dec 8, 2022 13:44 PST)

By: Bob Jenks  
Its: Executive Director

PACIFICORP

  
Matthew McVee

By: Matthew McVee  
Its: Vice President, Regulatory Policy and  
Operations

CASCADE NATURAL GAS  
CORPORATION



By: Lori Blattner  
Its: Director, Regulatory Affairs & Energy  
Efficiency

ALLIANCE OF WESTERN ENERGY  
CONSUMERS

  
W. A. Gaines (Dec 9, 2022 12:42 PST)

By: Bill Gaines  
Its: Executive Director of the Alliance of  
Western Energy Consumers

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**EXHIBIT A**

**Example 1:**

- a. In June 2017, ICNU submits a proposed budget for a PGE Issue Fund Grant in the amount of \$25,000 for participation in UE zzz. The OPUC approves ICNU's budget of \$25,000, subject to the required 20% match for an Issue Fund Grant.
- b. In February 2018, ICNU submits a request for payment of the \$25,000 PGE Issue Fund Grant for UE zzz, with documentation of 20% matching funds. The OPUC authorizes the payment.
- c. In September 2018, ICNU submits a request for payment of \$30,000 from the 2018 PGE Preauthorized Matching Fund Grant for various Eligible Proceedings, with documentation of 35% matching funds. The OPUC authorizes the payment.
- d. At the end of 2018, the PGE Preauthorized Matching Fund has an unused balance of \$42,738 (the \$72,738 beginning balance minus the \$30,000 approved request for payment). From January 1, 2019 until after April 1, on any charts or summaries the OPUC shows the \$42,738 year-end balance in the 2018 PGE Matching Fund and also shows the "new" \$74,920 that became available for 2019 in the PGE Matching Fund per Sec. 4.3(c).
- e. In March 2019, ICNU submits a request for payment of a PGE Preauthorized Matching Fund Grant for 2018 for Eligible Expenses totaling the remaining \$42,738, with documentation of 35% matching funds. The OPUC authorizes the payment.

Result: ICNU depleted its PGE Preauthorized Matching Fund for 2018 so its Issue Fund request has no impact on Matching Funds under Sec. 4.3(b). After April 1, 2019, the OPUC updates its chart for the PGE Matching Fund showing:

Total Funds Used For 2018:	\$72,738.
Rollover from 2018 to 2019:	0.
New Funds for 2019:	\$74,920.
Total Available Balance:	\$74,920.

**Example 2, same as Example 1 except:**

- e. In March 2019, ICNU submits a request for payment of a Preauthorized Matching Fund Grant from the 2018 PGE Account totaling only \$20,000. The OPUC authorizes payment.

Result: The PGE Matching Fund Account would have had a Rollover of \$22,738 (\$72,738 beginning balance, minus the \$30,000 and \$20,000 approved requests for payment, leaving \$22,738 unused for 2019.) However, since ICNU requested payment of its Issue Fund Grant instead of using up its PGE Preauthorized Matching Fund for 2018, per Sec. 4.3(b) the amount paid under the Issue Fund is deducted from the Rollover (which cannot be less than zero.) After April 1, 2019, the OPUC updates its chart for the PGE Matching Fund showing:

Total Funds Used for 2018:	\$50,000.
Deduction per Sec. 4.3(b):	\$22,738.
Rollover from 2018 to 2019:	\$0.
New Funds for 2019:	\$74,920.
Total Available Balance:	\$74,920.

**Example 3**, same as Example 2 except:

- b. ICNU did not submit any request for payment of an Issue Fund Grant from the PGE Issue Fund in 2018. (The UE zzz request was submitted in 2017.)

Result for 2018: There was no request for payment of a PGE Issue Fund Grant in 2018 so there is no impact on Preauthorized Matching Funds in 2018 under Sec. 4.3(b). (The impact of the request for payment for the UE zzz Issue Fund was computed for the year the request for payment was made, in 2017.) The PGE Preauthorized Matching Fund Account has a Rollover of \$22,738 going into 2019. After April 1, 2019, the OPUC updates its chart for the PGE Matching Fund showing:

Total Funds Used for 2018:	\$50,000.
Rollover from 2018 to 2019:	\$22,738.
New Funds for 2019:	\$74,920.
Total Available Balance:	\$97,658.

**Example 4**, same as Example 1 except:

- d. At the end of 2018, the PGE Preauthorized Matching Fund has an unused balance of \$62,738 (\$20,000 that was rolled over from 2017, plus the \$72,738 annual amount for 2018, minus the \$30,000 approved request for payment).

Result: ICNU has reduced its PGE Preauthorized Matching Fund for 2018 to \$20,000 (after the March request for payment of \$42,738 from PGE's Preauthorized Matching Fund) which but for the operation of Sec. 4.3(b) would have been available for Rollover. However, because ICNU requested payment from the Issue Fund instead of exhausting the PGE Preauthorized Matching Fund, the amount paid under the Issue Fund is deducted from the amount that otherwise would have rolled over (without the Rollover amount being reduced to less than zero).

Total Funds Used for 2018:	\$72,738.
Deduction per Sec. 4.3(b):	\$20,000.
Rollover from 2018 to 2019:	0.
New Funds for 2019:	\$74,920.
Total Available Balance:	\$74,920.



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**EXHIBIT B**

**REQUEST OF (PARTY) FOR PAYMENT OF ISSUE FUND GRANT BY (PARTICIPATING PUBLIC UTILITY)**

May be Designated as Confidential by Submitting Party  
SUBMITTED TO COMMISSION AND PARTICIPATING PUBLIC UTILITY

	Rate	Hours	Cost	TOTAL
Eligible Expenses Billed Through (Date)		Docket No. _____		
(a) Actual attorney fees directly attributable to participation in proceeding				
(1) Law firm and attorney (identify each by name and rate)	\$xxx	xx	\$xxxx	\$xxxx
(2) Law firm and paralegal (identify each by name and rate)	\$xx	xx	\$xxxx	\$xxxx
(b) Expert witness fees				
(1) Name (identify each by name and rate)	\$xxx	xx	\$xxxx	\$xxxx
(2) Name (identify each by name and rate)	\$xxx	xx	\$xxxx	\$xxxx
(c) Apportioned wages for in-house staff directly related to participation				
(1) Name, job title and hourly rate for recovery request (identify each)	\$xxx	xx	\$xxxx	\$xxxx
(2) Name, job title and hourly rate for recovery request (identify each)	\$xxx	xx	\$xxxx	\$xxxx
(d) Cost of preparing and copying studies, discovery materials and filings			\$xxxx	\$xxx
(e) Travel costs directly related to participation in the proceeding			\$xxxx	\$xxx
(f) Cost of studies, supplies directly related to proceeding, fees, transcripts			\$xxxx	\$xxx
(g) Cost of participation in workshops, informal PUC activities prior to proceeding			\$xxxx	\$xxx
(1) Law firm and attorney (identify each by name and rate)	\$xxx	xx	\$xxxx	\$xxx
(2) Apportioned wages for in-house staff (identify each name, job title and hourly billing rate for recovery)	\$xxx	xx	\$xxxx	\$xxx
Total Eligible Expenses Billed Through (Date)				\$XXXXXX
In-House Resources or Outside Funding Used for Eligible Expenses				\$XXXXXX
Percentage of Party's Eligible Expenses Accounted for by In-House Resources or Outside Funding Used for Eligible Expenses (must be at least 20% or such greater amount as the Commission may require)				— %
Amount of Approved Issue Fund Grant Budget				\$XXXXXX

**REQUEST OF (PARTY) FOR PAYMENT OF ISSUE FUND GRANT BY (PARTICIPATING PUBLIC UTILITY)**  
**May be Designated as Confidential by Submitting Party**  
**SUBMITTED TO COMMISSION AND PARTICIPATING PUBLIC UTILITY**

**Amount of This Request for Payment** \$xxxxx  
**Amount Remaining in Issue Fund Grant After This Request for Payment** \$xxxxx

**Certification of Authorized Officer for Party:**

All of the above expenses are reasonable, were incurred directly attributable to (Party's) participation in representing the interests of (designated customer class) in Docket No. \_\_\_\_\_, and represent expenses that are in excess of any amounts recovered by (Party) as a Matching Fund Grant with any Participating Public Utility.

None of the above expenses are expenses for general operations, overhead, membership recruitment, fundraising or communications with members, and constitute Eligible Expenses for Eligible Proceedings for Issue Funds under Section 7.3. (Party name) declares that this is (choose one):

- (A) A final request for all payments under this Approved Issue Fund Grant, for the full amount of the Approved Budget, and there are no remaining unused funds.
- (B) A final request for all payments under this Approved Issue Fund Grant which, combined with any previous requests for payment, is less than the amount of the Approved Issue Fund Grant. The difference of \$:XXXXXX is hereby released and made available to the (Participating Public Utility) Issue Fund.
- (C) A request for partial payment and the remainder of the Approved Issue Fund Grant should continued to be reserved pending further Requests for Payment.

(Party Name) has satisfied any other conditions for this Approved Issue Fund Grant as set forth: (A) (describe in detail) or (B) none applicable.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Authorized Signatory for Party  
Title  
Name

ORDER NO. 22-506

**EXHIBIT C**

**REQUEST FOR PAYMENT OF PREAUTHORIZED MATCHING FUND BY (PARTY) FOR (PARTICIPATING PUBLIC UTILITY) MATCHING FUND**  
**May be Designated as Confidential by Submitting Party**  
**SUBMITTED TO COMMISSION AND PARTICIPATING PUBLIC UTILITY**  
**Statement of Eligible Expenses and Matching Funds**

	Eligible Proceedings				TOTAL
	Docket A	Docket B	Docket C	Docket D	
(a) Actual attorney fees directly attributable to participation in proceeding	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(b) Expert witness fees	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(c) Apportioned wages for in-house staff directly related to participation	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(d) Costs of preparing and copying studies, discovery materials, filing in the proceeding	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(e) Travel costs directly relating to participation in the proceeding	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(f) Costs of studies, supplies directly related to the proceeding, fees, transcripts	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
(g) Cost of participation in workshops, informal PUC activities prior to proceeding	\$xxxx	\$xxxx	\$xxxx	\$xxxx	\$xxxx
<b>Total of Eligible Expenses Billed Through _____</b>	<b>\$xxxx</b>	<b>\$xxxx</b>	<b>\$xxxx</b>	<b>\$xxxx</b>	<b>\$xxxx</b>

**Amount of Preauthorized Matching Fund Grant Request** \$xxxx

**In-House Resources or Outside Funding Used for eligible Expenses** \$xxxx

**Percentage of Eligible Expenses in Eligible Proceedings as Accounted for by Party's In-House Resources or Outside Funding Used for Eligible Expenses (must be at least 35%)** %

**Certification of Authorized Officer for Party:**

The above expenses were billed (Party Name) as of (Date) and were directly attributable to (Party's) participation in Docket Nos. A, B, C and D and qualify as Eligible Expenses for Eligible Proceedings for Preauthorized Matching Funds under Section 7.2 of the Intervenor Funding Agreement. These expenses are in excess of any amounts recovered through Issue Fund Grants and/or other Matching Fund Grant Requests.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Authorized Signatory for Party  
Title  
Party Name











# 2023 Fifth Amended and Restated IFA-Final Execution Copy

Final Audit Report

2022-12-09

Created:	2022-12-08
By:	Megan Billinger (megan.billinger@pgn.com)
Status:	Signed
Transaction ID:	CBJCHBCAABAA-LD-jez0J3rTHakNL4Xnu5QoNLlalYhe

## "2023 Fifth Amended and Restated IFA-Final Execution Copy" History

-  Document created by Megan Billinger (megan.billinger@pgn.com)  
2022-12-08 - 7:52:28 PM GMT
-  Document emailed to Brett Sims (brett.sims@pgn.com) for signature  
2022-12-08 - 7:55:01 PM GMT
-  Document emailed to wgaines@awec.solutions for signature  
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-  Document emailed to lori.blattner@intgas.com for signature  
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-  Document emailed to Bob Jenks (bob@oregoncub.org) for signature  
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-  Document emailed to Matthew McVee (matthew.mcvee@pacificcorp.com) for signature  
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-  Document emailed to david.meyer@avistacorp.com for signature  
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-  Document emailed to zach.kravitz@nwnatural.com for signature  
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-  Email viewed by Matthew McVee (matthew.mcvee@pacificcorp.com)  
2022-12-08 - 8:00:06 PM GMT
-  Email viewed by Bob Jenks (bob@oregoncub.org)  
2022-12-08 - 8:03:13 PM GMT

 Matthew McVee (matthew.mcvee@pacificorp.com) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO


2022-12-08 - 8:19:03 PM GMT

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Signature Date: 2022-12-08 - 8:19:03 PM GMT - Time Source: server

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2022-12-08 - 9:00:52 PM GMT

 Signer david.meyer@avistacorp.com entered name at signing as David Meyer


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 Document e-signed by David Meyer (david.meyer@avistacorp.com)

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 Bob Jenks (bob@oregoncub.org) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO

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 Document e-signed by Bob Jenks (bob@oregoncub.org)


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 Email viewed by lori.blattner@intgas.com

2022-12-09 - 2:47:20 AM GMT

 Signer lori.blattner@intgas.com entered name at signing as Lori Blattner


2022-12-09 - 2:48:39 AM GMT

 Lori Blattner (lori.blattner@intgas.com) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO


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2022-12-09 - 7:35:11 PM GMT

 Signer zach.kravitz@nwnatural.com entered name at signing as Zach Kravitz


2022-12-09 - 7:36:15 PM GMT

 Zach Kravitz (zach.kravitz@nwnatural.com) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO


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 Document e-signed by Zach Kravitz (zach.kravitz@nwnatural.com)

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 Brett Sims (brett.sims@pgn.com) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO

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 Signer wgaines@awec.solutions entered name at signing as W. A. Gaines

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 W. A. Gaines (wgaines@awec.solutions) has agreed to the terms of use and to do business electronically with PORTLAND GENERAL ELECTRIC CO

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 Document e-signed by W. A. Gaines (wgaines@awec.solutions)

Signature Date: 2022-12-09 - 8:42:04 PM GMT - Time Source: server

 Agreement completed.

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