ENTERED SEP 1 9 2016

BEFORE THE PUBLIC UTILITY COMMISSION

OF OREGON

NC 354

PUBLIC UTILITY COMMISSION OF OREGON,

Complainant,

v.

ORDER

JUSTIN LATHAM EXCAVATION, INC. (an Oregon Corporation),

Defendant.

DISPOSITION: STIPULATION ADOPTED

In this order, the Public Utility Commission of Oregon adopts a stipulation between the Commission Staff and defendant and imposes civil monetary penalties under the terms and conditions of the stipulation.

I. COMPLAINT AND STIPULATION

On August 5, 2016, the Commission filed a complaint against defendant. The complaint charged defendant with one violation of law, OAR 952-001-0050(1), and requested a penalty of \$1,000. On August 5, 2016, a copy of the complaint was served.

On August 10, 2016, the parties in these proceedings entered into a stipulation, which is attached as Appendix A.

Under the terms of the stipulation, defendant:

- (1) Admits committing the alleged violation and agrees that the Commission may enter an order assessing civil monetary penalties;
- (2) Agrees to complete, within 45 days following the entry of a final order, a training session with the Oregon Utility Notification Center Speakers Bureau

- on Oregon excavation laws and with Cascade Natural Gas on operator safety; and
- (3) To submit a completed Training Verification form(s) for all required trainings to the Commission within 10 days of the date of the last training and no later than 55 days following the entry of this order.

The parties further agree that a portion of the penalties should be suspended, unless defendant fails to comply with any provision of the stipulation or violates any rule adopted by the Oregon Utility Notification Center under ORS 757.552 for a period of one year following the date of the Commission's final order in these proceedings.

II. DISCUSSION

The stipulation is adopted. Under the terms of the stipulation, the allegations of the complaint are true, and defendant has violated the Oregon Administrative Rules as alleged. Under the provisions of ORS 757.993, penalties should be assessed against defendant under the terms and conditions of the stipulation.

III. ORDER

IT IS ORDERED that:

- 1. The stipulation, attached as Appendix A, is adopted.
- 2. Civil monetary penalties are assessed against JUSTIN LATHAM EXCAVATION, INC. (an Oregon Corporation), in the amount of \$1,000 for one violation of law.
- 3. Defendant must pay the sum of \$200, due and payable within 30 days from the date of service of this order. Payment must be in the form of a <u>money order</u> made out to the **Public Utility Commission of Oregon**, and the <u>memo line of the money order shall state the "NC" docket number</u> in the caption of this order. (Mail payment to: Public Utility Commission of Oregon, PO Box 2153, Salem, OR 97308.)
- 4. Defendant must:
 - (a) Timely complete a training session with the Oregon Utility Notification Center Speakers Bureau. Defendant will contact the OUNC Speakers Bureau to arrange for and ensure that all of Defendant's employees that engage in excavation activity as a part of their job duties attend a training session on Oregon excavation laws within 45 days of the entry of this order;

- (b) Timely complete a training session with Cascade Natural Gas. Defendant will contact Cascade Natural Gas. The Commission acknowledges that Defendant's employees that engage in excavation activity as a part of their job duties attended a training session on operator safety on September 15, 2016; and
- (c) File a completed Training Verification form(s) for all required training to the Public Utility Commission of Oregon at the address indicated on the form within 10 days of the date of the last training session and no later than 55 days of the entry of this order.
- 5. Payment of the balance of the penalties (\$800) will be suspended, unless defendant fails to comply with any term of the stipulation. If the defendant has not met the requirements and time periods set forth in paragraphs 3 and 4 above, the unpaid balance of the amount assessed in paragraph 2 will be due immediately following the expiration of the time periods.

SEP 19 2016

Made, entered, and effective



A party may request rehearing or reconsideration of this order under ORS 756.561. A request for rehearing or reconsideration must be filed with the Commission within 60 days of the date of service of this order. The request must comply with the requirements in OAR 860-001-0720. A copy of the request must also be served on each party to the proceedings as provided in OAR 860-001-0180(2). A party may appeal this order by filing a petition for review with the Court of Appeals in compliance with ORS 183.480 through 183.484.

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1	BEFORE THE PUBLIC	UTILITY COMMISSION				
2	OF OREGON					
3	NC 354					
4	PUBLIC UTILITY COMMISSION OF OREGON,					
5	Complainant,	STIPULATION FOR ENTRY				
б	v.	OF FINAL ORDER				
7 8	JUSTIN LATHAM EXCAVATION, INC., (An Oregon Corporation),					
9	Defendant.					
10	The Public Utility Commission of Oregon, appearing by and through Johanna M.					
11	Riemenschneider, Assistant Attorney General, and Justin Latham Excavation, Inc., the Defendant					
12	herein, hereby stipulate as follows:					
13	· 1	•				
14	A Complaint in this case is pending before the Commission charging the Defendant with					
15	5 one violation of law, OAR 952-001-0050(1).					
16	6 2.					
17	Both parties to this proceeding are wil	ling to forego further processing of that Complaint				
18	and further are willing to resolve this matter of	n the basis of this Stipulation.				
19	:	3				
20	0 The Defendant admits that the violation was committed as alleged in the Complaint and					
21	is willing for the Commission to enter an ord-	er finding that the violation was committed as				
22	alleged in the Complaint.					
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Pa	ge 1 - STIPULATION FOR ENTRY OF FINAL (JMR:mxg/#7518129	ORDER (NC 354)				
	. 1 . Sa	epartment of Justice 162 Court Street NE Jam, OR 97301-4096 (-4342 / Fax: (503) 378-3784				

APPENDIX A Page 1 of 5

1 4. 2 The parties further agree that the Commission may enter an order assessing a civil 3 monetary penalty against Defendant in the amount of \$1,000 under the following terms and 4 conditions: 5 A. Defendant must sign and return this Stipulation within 20 days of the date it was 6 served upon (mailed to) defendant. B. \$200 becomes due and payable on or before the 30th day following the Commission's 7 entry of its order in this case. 8 9 C. Payment must be by money order made out to the Public Utility Commission of 10 Oregon, and the memo line of the money order must state the "NC" docket number 11 in the caption of this Stipulation. 12 D. Payment of the balance of the penalties (\$800) will be suspended and the suspended 13 penalties will be waived and no further penalties will be imposed for the violation 14 alleged in the Complaint unless Defendant fails to comply with all of the terms of this 15 stipulation and all of the rules adopted by the Oregon Utility Notification Center 16 (OUNC) under ORS 757.552 for a one-year period following the date of the 17 Commission's entry of an order. 18 E. Defendant must contact the OUNC Speakers Bureau online at 19 www.digsafelyoregon.com or by calling (503) 232-1987 to arrange for and ensure 20 that all of defendant's employees that engage in excavation activity as a part of their 21 job duties, including but not limited to notifying the Oregon Utility Notification 22 Center of proposed excavations, attend a training session on Oregon excavation laws 23 within 45 days following the entry of the Commission's order. 24 F. Defendant must contact Bill Walker at Cascade Natural Gas (CNG) by email 25 William.Walker@enge.com or by calling (541) 706-6290 and arrange for and ensure 26 that all of defendant's employees that engage in excavation activity as a part of their STIPULATION FOR ENTRY OF FINAL ORDER (NC 354) Page 2 -

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Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

1 2 job duties attend an operator safety presentation provided by CNG within 45 days following the entry of a final order.

G. Defendant must take a blank copy of the Training Verification form attached to this 3 Stipulation as Attachment 1 to all required trainings scheduled under paragraphs 4.E. 4 5 and 4.F. above. Defendant must ensure that all of its employees attending a required training sign a Training Verification form to verify attendance. A representative of 6 7 the organization providing the training (Instructor) must sign the Training 8 Verification Form to verify that each person listed on the form completed the training. 9 Defendant must return all completed training verification forms to Complainant at the 10 address on the Form within 10 days of the date of the last training session and in no 11 event later than 55 days following the date of the Commission's entry of an order in 12 this docket.

H. In the event that Complainant contends that Defendant has not complied with all of
 the terms of this Stipulation and all OUNC rules for that one-year period,

15 Complainant may reopen this proceeding and petition for imposition of all or a 16 portion of the suspended penalties. In such case, Defendant is entitled to a hearing 17 and to be notified of the basis upon which Complainant contends that compliance has 18 not occurred.

I. Complainant's failure to enforce any provision of this stipulation, or decision to
 waive any violation or nonperformance of this stipulation in one instance, will not
 constitute a waiver by the Complainant of that provision, any other provision, or any
 other violation or nonperformance in another instance.

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Page 3 - STIPULATION FOR ENTRY OF FINAL ORDER (NC 354) JMR:mxg#7518129

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1	. 5		
2	This Stipulation is conditioned upon final approval of its terms by the Commission. If		
3	e Stipulation is not accepted in its entirety, it is deemed withdrawn.		
4	DATED this 5 th day of August 2016.		
5	$11 D^{-} Q$		
6	Johanna M. Riemenschneider, #990083		
7	Senior Assistant Attorney General Of Attorneys for the Public Utility Commission		
8	of Oregon		
9	DATED this 10th day of August 2016.		
10			
11	for pertat		
12	Defendant / Representative (signature)		
13	Justin Lothon Execution In Product		
14	(Print Name)		
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Oregon Public Utility Commission

Training Verification

Docket NC 354

Instructions:

- Schedule training(s) outlined in Stipulation
- Complete the Training Verification form on the day of the training
- All attendees must print and sign their name on the Training Verification form
- Instructor must date and sign the Training Verification form upon completion of training
- Mail completed Training Verification form to the address below (retain a copy for your records)

 Type of Training: (To be filled out and signed by the instructor)

 OUNC-Oregon Excavation Laws
 Instructor:
 Date:

 Operator Safety Presentation
 Instructor:
 Date:

 Other:
 Instructor:
 Date:

Name (Printed)	Name (Signed)

Mail Completed form to:

Public Utility Commission of Oregon Administrative Hearings Division 201 High St. SE, Ste. 100 PO Box 1088 Salem OR 97308-1088