

ORDER NO. 12 070  
ENTERED MAR 06 2012

**BEFORE THE PUBLIC UTILITY COMMISSION  
OF OREGON**

NC 317

PUBLIC UTILITY COMMISSION OF  
OREGON,

Complainant,

v.

DAN KAUFFMAN EXCAVATING,  
INC., (an Oregon corporation),

Defendant.

ORDER

**DISPOSITION: STIPULATION ADOPTED**

On January 13, 2012, the Public Utility Commission of Oregon filed a complaint against defendant. The complaint charged defendant with one violation of law, OAR 952-001-0050, and requested a penalty of \$1,000. On January 13, 2012, a copy of the complaint was served.

On February 20, 2012, the parties in these proceedings entered into a stipulation, which is attached as Appendix A.

Under the terms of the stipulation, defendant admits committing the alleged violation and agrees that the Commission may enter an order assessing civil monetary penalties.

The parties further agree that a portion of the penalties should be suspended, unless defendant fails to comply with any provision of the stipulation or violates any rule adopted by the Oregon Utility Notification Center under ORS 757.552 for a period of two years following the date of the Commission's final order in these proceedings.

The stipulation is adopted. From the foregoing, it is concluded that no hearing is required. Under the terms of the stipulation, the allegations of the complaint are true, and defendant has violated Oregon Administrative Rules as alleged. Under the provisions of ORS 757.993, penalties should be assessed against defendant under the terms and conditions of the stipulation.

**ORDER**

IT IS ORDERED that:

1. The stipulation, attached as Appendix A, is adopted.
2. Civil monetary penalties shall be assessed against Dan Kauffman Excavating, Inc., (an Oregon corporation), in the amount of \$1,000 for one violation of law.
3. Defendant shall pay the sum of \$200, due and payable within 30 days from the date of service of this order. The Commission acknowledges receipt of the amount of \$200 from defendant on February 28, 2012.
4. Payment of the balance of the penalties (\$800) shall be suspended, unless:
  - (a) Defendant fails to comply with paragraph 3 above; or
  - (b) A showing is made that defendant has violated, within two years of the date of this order, any rules administered by the Oregon Utility Notification Center.
5. If defendant has not paid the amount assessed in paragraph 3 above within 30 days from the date of service of this order, the unpaid balance of the amount assessed in paragraph 2 shall be due immediately following the expiration of that time period.

Made, entered, and effective 3/6/12.



*[Handwritten Signature]*  
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**Bryan Conway**  
 Acting Director  
 Utility Program

A party may request rehearing or reconsideration of this order under ORS 756.561. A request for rehearing or reconsideration must be filed with the Commission within 60 days of the date of service of this order. The request must comply with the requirements in OAR 860-001-0720. A copy of the request must also be served on each party to the proceedings as provided in OAR 860-001-0180(2). A party may appeal this order by filing a petition for review with the Court of Appeals in compliance with ORS 183.480 through 183.484.

BEFORE THE PUBLIC UTILITY COMMISSION  
OF OREGON

NC 317

PUBLIC UTILITY COMMISSION OF  
OREGON,

Complainant,

v.

DAN KAUFFMAN EXCAVATING, INC.,  
(an Oregon corporation),

Defendant.

STIPULATION FOR ENTRY OF FINAL  
ORDER

The Public Utility Commission of Oregon, appearing by and through Johanna M. Riemenschneider, Assistant Attorney General, and Dan Kauffman Excavating, Inc., the defendant herein, hereby stipulate as follows:

1.

A Complaint in this case is pending before the Commission charging the defendant with one violation of law, OAR 952-001-0050.

2.

Both parties to this proceeding are willing to forego further processing of that Complaint and further are willing to resolve this matter on the basis of this stipulation.

3.

The defendant admits that the violation was committed as alleged in the Complaint and is willing for the Commission to enter an order finding that the violation was committed as alleged in the Complaint.

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The parties further agree that the Commission may enter an order assessing civil monetary penalties against the defendant in the amount of \$1,000 under the following terms and conditions:

- A. Defendant shall sign and return this stipulation within 20 days of the date it was served upon (mailed to) defendant.
- B. \$200 shall become due and payable on or before the 30th day following the Commission's entry of a final order in this case.
- C. Payment shall be by money order made out to the Public Utility Commission of Oregon, and the memo line of the money order shall state the "NC" docket number in the caption of this stipulation.
- D. Payment of the balance of the penalties (\$800) shall be permanently suspended and no further penalties shall be imposed for the violation alleged in the Complaint unless defendant fails to comply with all of the terms of this stipulation and all of the rules adopted by the Oregon Utility Notification Center (OUNC) under ORS 757.552 for a two year period following the date of the Commission's entry of a final order.
- E. In the event that complainant contends that the defendant has not complied with all of the terms of this stipulation and all OUNC rules for that two year period, complainant may reopen this proceeding and petition for imposition of all or a portion of the suspended penalties. In such case defendant shall be entitled to a hearing and to be notified of the basis upon which complainant contends that compliance has not occurred.

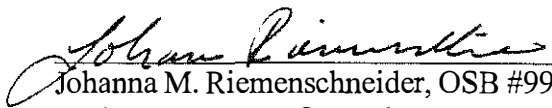
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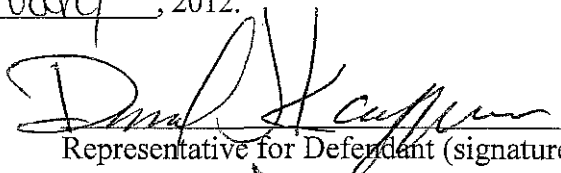
5.

This stipulation is conditioned upon final approval of its terms by the Commission. If the stipulation is not accepted in its entirety, it shall be deemed withdrawn.

DATED this 13<sup>th</sup> day of January, 2012.

  
Johanna M. Riemenschneider, OSB #99008  
Assistant Attorney General  
Of Attorneys for the Public Utility Commission  
of Oregon

DATED this 20<sup>th</sup> day of February, 2012.

  
Representative for Defendant (signature)  
Daniel J Kauffman  
(Print name)