

## DEPARTMENT OF JUSTICE GENERAL COUNSEL DIVISION

February 4, 2022

Corporation Service Company, Registered Agent 1127 Broadway Street NE Salem, OR 97301

Ricardo Linares, President Interstate Concrete and Asphalt Company dba American Rock Products 8849 W. Wyoming Avenue Rathdrum, ID 83858

Re:

Violation of Oregon Utility Notification Center Rules DOJ File No. 860140-GB0105-22 / Docket No. NC 398

## PLEASE READ ALL DOCUMENTS CAREFULLY – DIRECT ALL CORRESPONDENCE TO THE PUBLIC UTILITY COMMISSION OF OREGON

On January 18, 2022, a representative from your company met with the Public Utility Commission of Oregon's Enforcement Committee to discuss an alleged rule violation. At that conference, an agreement was reached regarding penalties for the violation.

Enclosed are two sets of documents that contain a Complaint and a Stipulation. The Complaint serves as a formal notice to you of the violation you were charged with and requires that you Answer the allegation. Because you already reached an agreement with the Enforcement Committee, you do not need to submit an Answer. Instead, you need only sign and return the enclosed Stipulation in order to answer the Complaint. If you had not reached an agreement with the Enforcement Committee, filing an Answer would be your opportunity to admit or deny the allegation and, if denied, to ask for a formal hearing.

The Stipulation sets forth the terms and conditions of the agreement you reached with the Enforcement Committee. To finalize the settlement, you must sign the original Stipulation and mail it within 20 days of the date of this letter to:

Public Utility Commission of Oregon Administrative Hearings Division P.O. Box 1088 Salem, OR 97308-1088

You do not need to make payment until the Commission has approved the Stipulation. The Commission should issue an order adopting the Stipulation within 30 days of its receipt. Please retain the extra copy of the Stipulation for your files.

Corporation Service Company, Registered Agent Ricardo Linares, President February 4, 2022 Page 2

If for some reason you no longer agree to the terms of the Stipulation, you MUST FILE AN ANSWER TO THE COMPLAINT, admitting or denying the allegation, or a default order may be issued.

If there is a discrepancy between the formal Stipulation and the agreement reached at the meeting that causes you concern, please contact Kevin Hennessy at (503) 378-6115.

Sincerely,

Johanna M. Riemenschneider Sr. Assistant Attorney General

**Business Activities Section** 

JMR:pjr /#323744498 Enclosures

| 1  | BEFORE THE PUBLIC UTILITY COMMISSION  |           |  |  |
|----|---|-----------|--|--|
| 2  | OF OREGON   |           |  |  |
| 3  | NC 398  |           |  |  |
| 4  | PUBLIC UTILITY COMMISSION OF  |           |  |  |
| 5  | OREGON,   |           |  |  |
| 6  | Complainant,  | COMPLAINT |  |  |
| 7  | V.  |           |  |  |
| 8  | INTERSTATE CONCRETE AND ASPHALT<br>COMPANY dba AMERICAN ROCK                                    |           |  |  |
| 9  | PRODUCTS,   |           |  |  |
| 10 | Defendant.  |           |  |  |
| 11 | 1.  |           |  |  |
| 12 | This proceeding is initiated by the Public Utility Commission of Oregon (hereinafter            |           |  |  |
| 13 | "Commission") to determine whether civil monetary penalties should be assessed as provided i    |           |  |  |
| 14 | ORS 757.993. The Commission maintains its offices at:   |           |  |  |
| 15 | 201 High Street SE, P.O. Box 1088, Salem, Oregon 97308-1088                                     |           |  |  |
| 16 | 2.  |           |  |  |
| 17 | At all times herein relevant, Defendant was doing business in this state.                       |           |  |  |
| 18 | 3.  |           |  |  |
| 19 | Under ORS 757.993, the Commission has discretion to seek penalties for violations of            |           |  |  |
| 20 | rules adopted by the Oregon Utility Notification Center (OUNC).                                 |           |  |  |
| 21 | 4.  |           |  |  |
| 22 | Under ORS 757.552, OUNC has adopted rules that prescribe requirements for                       |           |  |  |
| 23 | notification to OUNC of excavation activity and marking of underground facilities for the       |           |  |  |
| 24 | purpose of preventing damage to such facilities. "Excavation" and other relevant definitions ar |           |  |  |
| 25 | contained in OAR 952-001-0010.  |           |  |  |
| 26 | ///   |           |  |  |

| 1      | allegations of the Complaint are deemed admitted, and a penalty will be imposed in the |  |
|--------|--|--|
| 2      | amount of \$1,000 for each violation alleged, fo                                       | or a total of \$1,000.   |
| 3      | DATED this 4 <sup>th</sup> day of February 2022.                                       |  |
| 4      |  | Respectfully submitted,  |
| 5      |  | ELLEN F. ROSENBLUM   |
| 6      |  | Attorney General   |
| 7      |  | M Rinh   |
| 8<br>9 |  | Johanna M. Riemenschneider, OSB No. 990083<br>Sr. Assistant Attorney General |
| 10     |  | Of Attorneys for the Public Utility Commission of Oregon                     |
| 11     |  | of Olegon  |
| 12     | ,  |  |
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| 1  | BEFORE THE PUBLIC UTILITY COMMISSION   |                                |  |
|----|--|--------------------------------|--|
| 2  | OF OREGON  |                                |  |
| 3  | NC 398   |                                |  |
| 4  | PUBLIC UTILITY COMMISSION OF OREGON,   |                                |  |
| 5  | Complainant,   | STIPULATION FOR ENTRY OF FINAL |  |
| 6  | ٧.   | ORDER                          |  |
| 7  | INTERSTATE CONCRETE AND ASPHALT COMPANY dba AMERICAN ROCK PRODUCTS,                          |                                |  |
| 9  | Defendant.   |                                |  |
| 0  |  |                                |  |
| 1  | The Public Utility Commission of Oregon, appearing by and through Johanna M.                 |                                |  |
| 2  | Riemenschneider, Sr. Assistant Attorney General, and Interstate Concrete and Asphalt Company |                                |  |
| 3  | dba American Rock Products, the defendant herein, hereby stipulate as follows:               |                                |  |
| 4  | 1.   |                                |  |
| 5  | A Complaint in this case is pending before the Commission charging the Defendant with        |                                |  |
| 6  | one violation of OAR 952-001-0090(9).  |                                |  |
| 7  | 2.   |                                |  |
| 8  | Both parties to this proceeding are willing to forego further processing of that Complain    |                                |  |
| 9  | and further are willing to resolve this matter on the basis of this Stipulation.             |                                |  |
| 20 | 3.   |                                |  |
| 21 | The Defendant admits that the violation was committed as alleged in the Complaint and        |                                |  |
| 22 | is willing for the Commission to enter an order finding that the violation was committed as  |                                |  |
| 23 | alleged in the Complaint.  |                                |  |
|    |  |                                |  |
| 24 | ///  |                                |  |
| 25 | ///  |                                |  |
| 26 | ///  |                                |  |

Page 1 - STIPULATION FOR ENTRY OF FINAL ORDER (NC 398) JMR/pjr/#323744498 Department of Justice Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

| 1  | 4.  |   |
|----|---|---|
| 2  | The parties further agree that the Commission may enter an order assessing a civil                |   |
| 3  | monetary penalty against Defendant in the amount of \$1,000 under the following terms and         |   |
| 4  | conditions:   |   |
| 5  | A. Defendant must sign and return this Stipulation within 20 days of the date it was              |   |
| 6  | served upon (mailed to) Defendant.  |   |
| 7  | B. \$200 becomes due and payable on or before the 30 <sup>th</sup> day following the Commission's | S |
| 8  | entry of its order in this case.  |   |
| 9  | C. Payment must be by money order made out to the Public Utility Commission of                    |   |
| 10 | Oregon, and the memo line of the money order must state the "NC" docket number                    |   |
| 11 | in the caption of this Stipulation.   |   |
| 12 | D. Payment of the balance of the penalty (\$800) is suspended and will be waived with n           | 0 |
| 13 | further penalties imposed for the violation alleged in the Complaint unless Defendant             | t |
| 14 | fails to comply with all of the terms of this Stipulation and all of the rules adopted by         | 7 |
| 15 | the Oregon Utility Notification Center (OUNC) under ORS 757.552 for a one-year                    |   |
| 16 | period following the date of the Commission's entry of an order.                                  |   |
| 17 | E. Defendant must update company policy and procedures for giving notice to the                   |   |
| 18 | OUNC. This includes but not limited to registering with the OUNC's ITICnxt                        |   |
| 19 | provider. Contact the OUNC's provider to register at this email address:                          |   |
| 20 | nwitic@occinc.com. This also includes reviewing tool resource information at                      |   |
| 21 | http://or.tryitic.com.  |   |
| 22 | F. Defendant must complete, and provide a certificate of completion for, the OUNC                 |   |
| 23 | online course, Excavation Safety 101, registration for which is found at: Excavation              |   |
| 24 | <u>Safety 101 - Oregon Utility Notification Center (digsafelyoregon.com)</u> . Defendant          |   |
| 25 | must provide Complainant with a certificate of completion on or before the 60th day               |   |

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following the Commission's entry of its order in this case.

| 1  | G.          | In the event that Complainant content           | nds that Defendant has not complied with all of                               |
|----|-------------|---|---|
| 2  |             | the terms of this Stipulation and all           | OUNC rules for that one-year period,  |
| 3  |             | Complainant may reopen this proceed             | eding and petition for imposition of all or a                                 |
| 4  |             | portion of the suspended penalties.             | In such case, Defendant is entitled to a notice and                           |
| 5  |             | hearing on the basis upon which Con             | mplainant contends that compliance has not                                    |
| 6  |             | occurred.                                       |   |
| 7  | H.          | Complainant's failure to enforce any            | provision of this Stipulation, or decision to                                 |
| 8  |             | waive any violation or nonperforma              | nce of this Stipulation in one instance, will not                             |
| 9  |             | constitute a waiver by the Complain             | ant of that provision, any other provision, or any                            |
| 0  |             | other violation or nonperformance in            | n another instance.   |
| 1  | I,          | If Defendant fails to comply with an            | y of the conditions outlined above or the                                     |
| 2  |             | Defendant is found to have violated             | any rule within Chapter 952, Division 1 within                                |
| 3  |             | 12 months, the suspended amount of              | f \$800 is forfeited.   |
| 4  |             |   | 5.  |
| 5  | Th          | is Stipulation is conditioned upon fin          | al approval of its terms by the Commission. If                                |
| 6  | the Stipula | ation is not accepted in its entirety, it       | is deemed withdrawn.  |
| .7 | D           | amproved the critical control                   | ,   |
| 8  | DA          | ATED this 4 <sup>th</sup> day of February 2022. | 11. 7. 1  |
| 9  |             |   | Johanna M. Riemenschneider, OSB No. 990083                                    |
| 20 |             |   | Sr. Assistant Attorney General Of Attorneys for the Public Utility Commission |
| 21 |             |   | of Oregon   |
| 22 |             | e .   |   |
| 23 | DA          | ATED this day of February 202                   | 2.  |
| 24 |             |   | Representative for Defendant (signature)                                      |
| 25 |             |   |   |
| 6  |             |   | (Print name)  |

Page 3 - STIPULATION FOR ENTRY OF FINAL ORDER (NC 398) JMR/pjr/#323744498 Department of Justice