

January 27, 2022

Courtney Villanueva, Registered Agent Oregon Outdoor Lighting, Inc. 14190 Fir Street, Ste. 207 Oregon City, OR 97045

Kyle McKelvy, President Oregon Outdoor Lighting, Inc. P.O. Box 2620 Oregon City, OR 97045

Re:

Violation of Oregon Utility Notification Center Rules DOJ File No. 860140-GB0573-21 / Docket No. NC 396

PLEASE READ ALL DOCUMENTS CAREFULLY – DIRECT ALL CORRESPONDENCE TO THE PUBLIC UTILITY COMMISSION OF OREGON

On December 2, 2021, a representative from your company met with the Enforcement Committee to discuss an alleged rule violation. At that meeting, an agreement was reached regarding penalties for the violation.

Enclosed are two sets of documents that contain a Complaint and a Stipulation. The Complaint serves as a formal notice to you of the violation you were charged with and requires that you Answer the allegation. Because you already reached an agreement with the Enforcement Committee, you do not need to submit an Answer. Instead, you need only sign and return the enclosed Stipulation in order to answer the Complaint. If you had not reached an agreement with the Enforcement Committee, filing an Answer would be your opportunity to admit or deny the allegation and, if denied, to ask for a formal hearing.

The Stipulation sets forth the terms and conditions of the agreement you reached with the Enforcement Committee. To finalize the settlement, you must sign the original Stipulation and mail it within 20 days of the date of this letter to:

Public Utility Commission of Oregon Administrative Hearings Division P.O. Box 1088 Salem OR 97308-1088 Oregon Outdoor Lighting, Inc. January 27, 2022 Page 2

The Commission should issue an order adopting the Stipulation within 30 days of its receipt. Please retain the extra copy of the Stipulation for your files.

If for some reason you no longer agree to the terms of the Stipulation, you MUST FILE AN ANSWER TO THE COMPLAINT, admitting or denying the allegation.

If there is a discrepancy between the formal Stipulation and the agreement reached at the meeting that causes you concern, please contact Kevin Hennessy at (503) 378-6115.

Sincerely,

Johanna M. Riemenschneider Senior Assistant Attorney General

Business Activities Section

JMR:pjr#234193744 Enclosures

| 1 | BEFORE THE PUBLIC UTILITY COMMISSION | | |
|------|--|---|--|
| 2 | OF OREGON | | |
| 3 | NC 396 | | |
| 4 | PUBLIC UTILITY COMMISSION OF | | |
| 5 | OREGON, | | |
| 6 | Complainant, | COMPLAINT | |
| 7 | V. | | |
| 8 | OREGON OUTDOOR LIGHTING, INC., (an Oregon corporation), | | |
| 9 | Defendant. | | |
| 10 | | 1. | |
| 11 | This proceeding is initiated by the Public Utility Commission of Oregon (hereinafter | | |
| 12 | "Commission") to determine whether civil monetary penalties should be assessed as provided in | | |
| 13 | ORS 757.993. The Commission maintains its offices at: | | |
| 14 | 201 High Street SE, Suite 100, P.O. Box 1088, Salem, Oregon 97301 | | |
| 15 | 2. | | |
| 16 | At all times herein relevant, Defendant was doing business in this state. | | |
| 17 | 3. | | |
| 18 | Under ORS 757.993, the Commission has discretion to seek penalties for violations of | | |
| 19 | rules adopted by the Oregon Utility Notification Center (OUNC). | | |
| 20 | | 4. | |
| 21 | Under ORS 757.552, OUNC has adopted | d rules that prescribe requirements for | |
| 22 | notification to OUNC of excavation activity and marking of underground facilities for the | | |
| 23 | purpose of preventing damage to such facilities. "Excavation" and other relevant definitions are | | |
| 24 | contained in OAR 952-001-0010. | | |
| 25 | <i>///</i> | | |
| 26 | /// | | |
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Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

| 1 | 5. | | |
|--------|---|--|--|
| 2 | OUNC adopted OAR 952-001-0050, which was in effect at all times herein relevant. The | | |
| 3 | rule provides in relevant part: | | |
| 4 | days before beginning an excavation, the excavator must notify the Oregon Utility Notification Center of the date and location of the proposed excavation, and the | | |
| 5 | | | |
| 6 7 | | | |
| | | | |
| 8 | On or about June 10, 2021, Defendant violated OAR 952-001-0050, in that Defendant | | |
| 9 | failed to notify the Oregon Utility Notification Center of a proposed excavation before | | |
| 10 | commencing excavation at or near 11580 SW Royal Villa Drive in Tigard, Oregon. | | |
| 11 | 7. | | |
| 12 | ORS 757.993 provides, in relevant part, that: | | |
| 13 | "* * * every person who violates or who procures, aids or abets in the violation of | | |
| 14 | any rule of the Oregon Utility Notification Center shall incur a penalty of not more than \$1,000 for the first violation and not more than \$5,000 for each subsequent violation." | | |
| 15 | | | |
| 16 | 8. | | |
| 17 | Defendant has not violated OUNC rules in the past. | | |
| 18 | 9. | | |
| 19 | WHEREFORE, the Commission directs Defendant to file a verified answer to this | | |
| 20 | Complaint within twenty (20) days from the date this Complaint is mailed to Defendant. | | |
| 21 | If no verified answer or other written appearance raising a question of fact or law is filed | | |
| 22 | with the Commission at its office in Salem, Oregon, within the 20-day period, the | | |
| 23 | /// | | |
| 24 | /// | | |
| 25 | <i>///</i> | | |
| 26 | /// | | |
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| .1 | allegations of the Complaint are deemed admit | ted, and a penalty will be imposed in the |
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| 2 | amount of \$1,000 for each violation alleged, fo | r a total of \$1,000. |
| 3 | | |
| 4 | DATED this 27 th day of January 2022. | |
| 5 | | Respectfully submitted, |
| 6 | | ELLEN F. ROSENBLUM |
| 7 | | Attorney General |
| 8 | | 1-12-1 |
| 9 | | Johanna M. Riemenschneider, OSB #990083 |
| 10 | | Senior Assistant Attorney General Of Attorneys for the Public Utility Commission |
| 11 | | of Oregon |
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| 1 | BEFORE THE PUBLIC UTILITY COMMISSION | | |
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| 2 | OF OREGON | | |
| 3 | NC 396 | | |
| 4 | PUBLIC UTILITY COMMISSION OF OREGON, | | |
| 5 | Complainant, STIPULATION FOR ENTRY OF ORDER | | |
| 6 | v. | | |
| 7 8 | OREGON OUTDOOR LIGHTING, INC, (an Oregon corporation), | | |
| 9 | Defendant. | | |
| 10 | The Public Utility Commission of Oregon, appearing by and through Johanna M. | | |
| 11 | Riemenschneider, Senior Assistant Attorney General, and Oregon Outdoor Lighting, Inc, the | | |
| 12 | Defendant herein, hereby stipulate as follows: | | |
| 13 | 1. | | |
| 14 | A Complaint in this case is pending before the Commission charging the Defendant wit | | |
| 15 | one violation of law, OAR 952-001-0050. | | |
| 16 | 2. | | |
| 17 | Both parties to this proceeding are willing to forego further processing of that Complain | | |
| 18 | and further are willing to resolve this matter on the basis of this Stipulation. | | |
| 19 | 3. | | |
| 20 | The Defendant admits that the violation was committed as alleged in the Complaint and | | |
| 21 | is willing for the Commission to enter an order finding that the violation was committed as | | |
| 22 | alleged in the Complaint. | | |
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| 25 | /// | | |
| 26 | /// | | |
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1 4. 2 The parties further agree that the Commission may enter an order assessing civil 3 monetary penalties against Defendant in the amount of \$1,000 under the following terms and 4 conditions: 5 A. Defendant must sign and return this Stipulation within 20 days of the date it was 6 served upon (mailed to) Defendant. 7 B. Payment of the penalties (\$1,000.00) is suspended and will be waived with no further 8 penalties imposed for the violation alleged in the Complaint unless Defendant fails to 9 comply with all of the terms of this Stipulation and all of the rules adopted by the 10 Oregon Utility Notification Center (OUNC) under ORS 757.552 for a one-year period 11 following the date of the Commission's entry of an order. 12 C. Defendant must update company policy and procedures for giving notice of a 13 proposed excavation to the OUNC. This includes but is not limited to registering 14 with OUNC's ITICnxt provider. Defendant may contact the provider at this email 15 address for registration: nwitic@occinc.com. Defendant may review the tool 16 resource information at http://or.tryitic.com. 17 D. In the event that Complainant contends that Defendant has not complied with all of 18 the terms of this Stipulation and all OUNC rules for that one-year period, 19 Complainant may reopen this proceeding and petition for imposition of all or a 20 portion of the suspended penalties. In such case, Defendant is entitled to a hearing 21 and to be notified of the basis upon which Complainant contends that compliance has 22 not occurred. 23 E. Complainant's failure to enforce any provision of this Stipulation, or decision to 24 waive any violation or nonperformance of this Stipulation in one instance, will not

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other violation or nonperformance in another instance.

constitute a waiver by the Complainant of that provision, any other provision, or any

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| 2 | | 5. |
| 3 | This Stipulation is conditioned upon fir | nal approval of its terms by the Commission. If |
| 4 | the Stipulation is not accepted in its entirety, it | is deemed withdrawn. |
| 5 6 | DATED this 27 th day of January 2022. | |
| 7 | | 11 Rind |
| 8 | | 1300 |
| 9 | | Johanna M. Riemenschneider, OSB #990083 Senior Assistant Attorney General |
| 10 | | Of Attorneys for the Public Utility Commission of Oregon |
| 11 | | |
| 12 | DATED this day of February 202 | 22. |
| 13 | | |
| 14 | | Defendant / Representative (signature) |
| 15 | | |
| 16 | | (Print Name) |
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