ELLEN F. ROSENBLUM Attorney General



FREDERICK M. BOSS Deputy Attorney General

DEPARTMENT OF JUSTICE GENERAL COUNSEL DIVISION

February 24, 2017

Ron Kidder – Representative Ron Kidder LLC dba Botanical Developments 2300 NW Estes Street Bend, OR 97703-1143 Ron Kidder – Registered Agent Ron Kidder LLC PO Box 6326 Bend, OR 97708

Re: Violation of Oregon Utility Notification Center Rules DOJ File No. 860501-GB0746-16/ Docket No. NC 357

PLEASE READ ALL DOCUMENTS CAREFULLY – DIRECT ALL CORRESPONDENCE TO THE PUBLIC UTILITY COMMISSION OF OREGON

On December 16, 2016, a representative from your company met with Public Utility Commission of Oregon's Enforcement Committee to discuss an alleged violation of Oregon Utility Notification Center Rules. At that meeting, an agreement was reached regarding penalties for the violation.

Enclosed are two sets of documents that contain a Complaint and a Stipulation. The Complaint serves as a formal notice to you of the violation you were charged with and requires that you Answer the allegation. Because you already reached an agreement with the Enforcement Committee, you do not need to submit an Answer. Instead, you need only sign and return the enclosed Stipulation in order to answer the Complaint. If you had not reached an agreement with the Enforcement Committee, filing an Answer would be your opportunity to admit or deny the allegation and, if denied, to ask for a formal hearing.

The Stipulation sets forth the terms and conditions of the agreement you reached with the Enforcement Committee. To finalize the settlement, you must sign the original Stipulation and mail it within 20 days of the date of this letter to:

Public Utility Commission of Oregon Administrative Hearings Division 201 High St. SE, Ste. 100 PO Box 1088 Salem OR 97308-1088 Botanical Developments, LLC Ron Kidder – Registered Agent February 24, 2017 Page 2

You do not need to make payment, if any is due, until the Commission has approved the Stipulation. The Commission should issue an order adopting the Stipulation within 30 days of its receipt. Please retain the extra copy of the Stipulation for your files.

If for some reason you no longer agree to the terms of the Stipulation, you MUST FILE AN ANSWER TO THE COMPLAINT, admitting or denying the allegation, or a default order may be issued.

If there is a discrepancy between the formal Stipulation and the agreement reached at the meeting that causes you concern, please contact Kevin Hennessy at (503) 378-6115.

Sincerely,

Johanna M. Riemenschneider Senior Assistant Attorney General Business Activities Section

Enclosures JMR:mxg/#7937719

| 1 | BEFORE THE PUBLIC UTILITY COMMISSION | |
|----|---|--|
| 2 | OF OREGON | |
| 3 | NC 357 | |
| 4 | PUBLIC UTILITY COMMISSION OF OREGON, | |
| 5 | Complainant, | COMPLAINT |
| 6 | V. | |
| 7 | RON KIDDER LLC, | |
| 8 | Defendant. | |
| 9 | Derendant. | |
| 10 | | |
| 11 | 1. | |
| 12 | This proceeding is initiated by the Public Utility Commission of Oregon (hereinafter | |
| 13 | "Commission") to determine whether civil monetary penalties should be assessed as provided in | |
| 14 | ORS 757.993. The Commission maintains its offices at: | |
| 15 | 201 High Street SE Ste. 100, P.O. Box 1088, Salem, Oregon 97308-1088 | |
| 16 | 2. | |
| 17 | At all times herein relevant, Defendant was doing business in this state. | |
| 18 | 3. | |
| 19 | Under ORS 757.993, the Commission has discretion to seek penalties for violations of | |
| 20 | rules adopted by the Oregon Utility Notification Center (OUNC). | |
| 21 | 4. | |
| 22 | Under ORS 757.552, OUNC has adopted rules that prescribe requirements for | |
| 23 | notification to OUNC of excavation activity and marking of underground facilities for the | |
| 24 | purpose of preventing damage to such facilities. " | Excavation" and other relevant definitions are |
| 25 | contained in OAR 952-001-0010. | |
| 26 | /// | |
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Page 1 - COMPLAINT (NC 357) JMR:mxg/7937719

| 1 | | 5. | |
|----------|---|---|-----|
| 2 | OUNC adopted OAR 952-001-0090 which was in effect at all times herein relevant. The | | The |
| 3 | rule provides in relevant part: | | |
| 4 | | (1) An excavator shall not commence an excavation which requires notice under these rules until the excavator has received a response from each operator of | |
| 5 6 | underground facilities in the area of the proposed excavation, or until at least two (2) business days (48 hours) have elapsed from the time the excavator notified the Oregon Utility Notification Center. | | |
| 7 | 6. | | |
| 8 | | On or about September 28, 2016, Defendant violated OAR 952-001-0090(1) by | N |
| 9 | com | mencing an excavation at 1500 NE Hemlock, Redmond, Oregon without waiting the | |
| 10 | requ | ired 48 hours to receive a response from the operators of the underground facilities. | |
| 11 | | 7. | |
| 12 | | ORS 757.993 provides, in relevant part, that: | |
| 13 | | "* * * every person who violates or who procures, aids or abets in the violation of | |
| 14 | any rule of the Oregon Utility Notification Center shall incur a penalty of not more than \$1,000 for the first violation and not more than \$5,000 for each subsequent violation." | | |
| 15 16 | | 8. | |
| | | | |
| 17 | | Defendant has not violated OUNC rules in the past. | |
| 18 | /// | | |
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| Page | 2 - | COMPLAINT (NC 357) JMR:mxg/7937719 | |

| 1 | 9. | |
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| 2 | WHEREFORE, the Commission directs Defendant to file a verified answer to this | |
| 3 | Complaint within twenty (20) days from the date this Complaint is mailed to Defendant. | |
| 4 | If no verified answer or other written appearance raising a question of fact or law is filed | |
| 5 | with the Commission at its office in Salem, Oregon, within the 20-day period, the | |
| 6 | allegations of the Complaint are deemed admitted, and a penalty will be imposed by | |
| 7 | default order upon the file in this matter in the amount of \$1,000 for each violation | |
| 8 | alleged, for a total of \$1,000. | |
| 9 | | |
| 10 | DATED this 24th day of February 2017. | |
| 11 | Respectfully submitted, | |
| 12 | ELLEN F. ROSENBLUM | |
| 13 | Attorney General | |
| 14 | John Rinhol | |
| 15 | Johanna M. Riemenschneider, #990083 | |
| 16 | Senior Assistant Attorney General Of Attorneys for the Public Utility Commission | |
| 17 | of Oregon | |
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| Page | 3 - COMPLAINT (NC 357) | |

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JMR:mxg/7937719

Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

| 1 | BEFORE THE PUBLIC UTILITY COMMISSION | | |
|------|---|---|--|
| 2 | OF OREGON | | |
| 3 | NC 357 | | |
| 4 | PUBLIC UTILITY COMMISSION OF OREGON, | | |
| 5 | Complainant, | STIPULATION FOR ENTRY OF FINAL | |
| 6 | V. | ORDER | |
| 7 | RON KIDDER LLC, | | |
| 8 | Defendant. | | |
| 9 | | | |
| 10 | The Public Utility Commission of Oreg | on, appearing by and through Johanna M. | |
| 11 | Riemenschneider, Assistant Attorney General, and Ron Kidder LLC, the Defendant herein, | | |
| 12 | hereby stipulate as follows: | | |
| 13 | 1. | | |
| 14 | A Complaint in this case is pending before the Commission charging the Defendant with | | |
| 15 | violations of OAR 952-001-0090(1), and propo | osing total civil penalties of \$1,000. | |
| 16 | 2. | | |
| 17 | Both parties to this proceeding are will | ng to forego further processing of that Complaint | |
| 18 | and further are willing to resolve this matter without further hearing or appeal on the basis of this | | |
| 19 | Stipulation. | | |
| 20 | 3. | | |
| 21 | The Defendant admits that the violation | n was committed as alleged in the Complaint and | |
| 22 | | | |
| 23 | alleged in the Complaint. | | |
| 24 | 111 | | |
| 25 | 5 /// | | |
| 26 | /// | | |
| Page | Page 1 - STIPULATION FOR ENTRY OF ORDER (NC 357) JMR:mxg/7937719 | | |

Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

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| 1 | 4. | |
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| 2 | The parties further agree that the Commission may enter an order assessing civil | |
| 3 | monetary penalties against Defendant in the amount of \$1,000 under the following terms and | |
| 4 | conditions: | |
| 5 | A. Defendant must sign and return this Stipulation within 20 days of the date it was | |
| 6 | served upon (mailed to) Defendant. | |
| 7 | B. 200.00 becomes due and payable on or before the 30^{th} day following the | |
| 8 | Commission's entry of its order in this case. | |
| 9 | C. Payment must be by cashier's check or money order made out to the Public Uti | <u>lity</u> |
| 10 | Commission of Oregon, and the memo line of the money order must state the "N | <u>C</u> |
| 11 | <u>357" docket number</u> in the caption of this Stipulation. | |
| 12 | D. Payment of the balance of the penalty (\$800) will be suspended and it will be wait | ved |
| 13 | with no further penalties imposed for the violation alleged in the Complaint unless | 3 |
| 14 | Defendant fails to comply with all of the terms of this Stipulation and all of the ru | les |
| 15 | adopted by the Oregon Utility Notification Center (OUNC) under ORS 757.552 for | or a |
| 16 | one-year period following the date of the Commission's entry of an order. | |
| 17 | E. Defendant must contact Bill Walker at Cascade Natural Gas (CNG) by email at | |
| 18 | William.Walker@cngc.com or by calling (541) 706-6290 and arrange for and ens | ure |
| 19 | that all of defendant's employees that engage in excavation activity as a part of th | eir |
| 20 | job duties attend an operator safety presentation provided by CNG within 45 days | |
| 21 | following the entry of a final order. | |
| 22 | F. Defendant must take a blank copy of the Training Verification form attached to the | iis |
| 23 | Stipulation as Attachment 1 to all required trainings scheduled under paragraphs 4 | 4.E. |
| 24 | above. Defendant must ensure that all of its employees attending a required traini | ng |
| 25 | sign a Training Verification form to verify attendance. A representative of the | |
| 26 | organization providing the training (Instructor) must sign the Training Verificatio | n |
| | | |

Page 2 - STIPULATION FOR ENTRY OF ORDER (NC 357) JMR:mxg/7937719

form to verify that each person listed on the form completed the training. Defendant must return all completed Training Verification forms to Complainant at the address on the Form within 10 days of the date of the last training session and in no event later than 55 days following the date of the Commission's entry of an order in this docket.

G. In the event that Complainant contends that Defendant has not complied with all of the terms of this Stipulation and all OUNC rules for the one-year period, Complainant may reopen this proceeding and petition for imposition of all or a portion of the suspended penalty. In such case, Defendant is entitled to notice and a hearing on the basis upon which Complainant contends that compliance has not occurred.

H. Complainant's failure to enforce any provision of this Stipulation, or decision to waive any violation or nonperformance of this Stipulation in one instance, will not constitute a waiver by the Complainant of that provision, any other provision, or any other violation or nonperformance in another instance.

STIPULATION FOR ENTRY OF ORDER (NC 357) Page 3 -JMR:mxg/7937719

Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4342 / Fax: (503) 378-3784

| 1 | 5. |
|------|--|
| 2 | This Stipulation is conditioned upon final approval of its terms by the Commission. If |
| 3 | the Stipulation is not accepted in its entirety, it is deemed withdrawn. |
| 4 | |
| 5 | DATED this 24 th day of February 2017. |
| 6 | |
| 7 | John Kan |
| 8 | Johanna M. Riemenschneider, #990083 Senior Assistant Attorney General |
| 9 | Of Attorneys for the Public Utility Commission of Oregon |
| 10 | |
| 11 | DATED this day of February 2017. |
| 12 | |
| 13 | Defendant / Representative (signature) |
| 14 | |
| 15 | |
| 16 | (Print Name) |
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| Page | 4 - STIPULATION FOR ENTRY OF ORDER (NC 357) JMR:mxg/7937719 |



Oregon Public Utility Commission

Training Verification

Docket NC 357

| Instructions: | | | |
|--|--------------------------------------|--|--|
| Defendant: | | | |
| Schedule training(s) outlined in Stipulation | | | |
| Complete the Training Verification form on the day of the training All attendees must print and sign their name on the Training Verification form | | | |
| | | | |
| Defendant must mail completed Training Verification form to the address below (retain a copy | | | |
| for your records) | | | |
| Instructor: | - | | |
| Date and sign the Training Verification for | orm upon completion of training | | |
| Type of Training: (To be filled out by the instructor) | | | |
| | | | |
| OUNC – Oregon Excavation Laws | perator Safety Presentation 📃 Other: | | |
| Instructor Print Name: | | | |
| Instructor Signature: Date: | | | |
| | | | |
| Name (Printed) | Name (Signed) | | |
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Mail Completed form to:

Public Utility Commission of Oregon Administrative Hearings Division 201 High St. SE, Ste. 100 PO Box 1088 Salem OR 97308-1088