



e-FILING REPORT COVER SHEET

COMPANY NAME: ROATS WATER SYSTEM, INC.

DOES REPORT CONTAIN CONFIDENTIAL INFORMATION? No Yes If yes, submit a redacted public version (or a cover letter) by email. Submit the confidential information as directed in OAR 860-001-0070 or the terms of an applicable protective order.

Select report type: RE (Electric) RG (Gas) RW (Water) RT (Telecommunications)
 RO (Other, for example, industry safety information)

Did you previously file a similar report? No Yes, report docket number:

Report is required by: OAR 860-036-2360

Statute

Order

Note: A one-time submission required by an order is a compliance filing and not a report (file compliance in the applicable docket)

Other

(For example, federal regulations, or requested by Staff)

Is this report associated with a specific docket/case? No Yes, docket number: RW 79

List Key Words for this report. We use these to improve search results.

Affiliated Interest Annual Report

Send the completed Cover Sheet and the Report in an email addressed to PUC.FilingCenter@puc.oregon.gov

Send confidential information, voluminous reports, or energy utility Results of Operations Reports to PUC Filing Center, PO Box 1088, Salem, OR 97308-1088 or by delivery service to 201 High Street SE Suite 100, Salem, OR 97301.



Affiliated Interest Annual Report for Water Utilities

OAR 860-036-2360

This form must be electronically filed with the OPUC at: PUC.FilingCenter@puc.oregon.gov on or before June 1, of each year.

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| Utility Company Name: ROATS WATER SYSTEM, INC. |
| Address: 61147 HAMILTON LANE, BEND OR 97702 |
| Telephone: 541 382-3029 |
| Email: MICHELLE@ROATSWATER.COM |

Annual Transactions for January 1 through December 31, of each year

(Please use this format and attach additional sheets if needed.)

| Docket & Order No.* | Name of Affiliate And Description of Affiliation | Purpose of Transaction | Hours & Current Hourly Rate (If Applicable) | Annual Dollar Amount |
|---------------------|--|--|---|----------------------|
| UI326 13-066 | WILLIAM K. ROATS | FACILITY RENTS | | ██████████ |
| UI273 07-395 | WILLIAM K. ROATS | SALARY, HEALTH AND RETIREMENT BENEFITS | | ██████████ |
| UI467 22-122 | SHIRLEY E. ROATS | SALARY, HEALTH AND RETIREMENT BENEFITS | | ██████████ |
| UI468 22-123 | WILLIAM C. ROATS | SALARY, HEALTH AND RETIREMENT BENEFITS | | ██████████ |
| UI466 22-121 | MICHELLE ROATS BERG | SALARY, HEALTH AND RETIREMENT BENEFITS | | ██████████ |
| | | | | ██████████ |

*If you do not know the docket or order number, please call and staff will help you with that information. Please **do not** file the form with this section blank; if not affiliated interest exists please use N/A.

Have any changes occurred to the utility, affiliate, or the affiliated relationships that affect any affiliated interest contracts?

- NO
- YES - Using a separate sheet, please explain the changes and provide any other pertinent information.

Signature of responsible party: Michelle Berg Date: 04/13/23

Printed name: Michelle Berg Position held in utility: Director

Telephone Number: 541-382-3029 E-mail: michelle@roatswater.com

ATTACHMENT

AFFILIATED INTEREST ANNUAL REPORT FOR WATER UTILITIES

REPORT FOR YEAR ENDED DECEMBER 31, 2022

EFFECTIVE OCTOBER 1, 2022, WILLIAM C ROATS (Casey) RECEIVED AN INCREASE IN ANNUAL SALARY COMPENSATION COVERED BY THE AFFILIATED INTEREST AGREEMENT REFERRED TO AS DOCUMENT UI468 ITEM NO., CA7. [REDACTED] IN TOTAL COMPENSATION ANNUALLY IN FISCAL YEAR 2022/2023. THE TOTAL COMPENSATION IS COMPRISED OF [REDACTED] IN DIRECT SALARY, [REDACTED] IN HEALTH INSURANCE BENEFITS AND [REDACTED] IN 401K MATCHING CONTRIBUTIONS.

EFFECTIVE OCTOBER 1, 2022, MICHELLE ROATS BERG RECEIVED AN INCREASE IN ANNUAL SALARY COMPENSATION COVERED BY THE AFFILIATED INTEREST AGREEMENT REFERRED TO AS DOCUMENT UI466 ITEM NO., CA5. ORDER NO. [REDACTED] IN TOTAL COMPENSATION ANNUALLY IN FISCAL YEAR 2022/2023. THE TOTAL COMPENSATION IS COMPRISED OF [REDACTED] IN DIRECT SALARY, [REDACTED] IN HEALTH INSURANCE BENEFITS AND [REDACTED] IN 401K MATCHING CONTRIBUTIONS.