

RE\_\_\_ e-FILING REPORT COVER SHEET

REPORT NAME: UF 4239 Finance Application (Employee Stock Purchase Plan)

COMPANY NAME: Portland General Electric

DOES REPORT CONTAIN CONFIDENTIAL INFORMATION?  No  Yes

If yes, please submit only the cover letter electronically.  
Submit confidential information as directed OAR 860-001-0070 or the terms of an applicable PROTECTIVE ORDER.

If known, please select designation:  RE (Electric)

Report is required by:  OAR

Statute

Order 07-163

Other

Is this report associated with a specific docket/case?  No  Yes

If Yes, enter docket number: UF 4239

Key words: UF 4239 Finance Application (Employee Stock Purchase Plan)

If known, please select the PUC Section to which the report should be directed:

- Economic and Policy Analysis
- Electric and Natural Gas Revenue Requirements
- Electric Rates and Planning
- Utility Safety, Reliability & Security
- Administrative Hearings Division



**Portland General Electric Company**  
121 SW Salmon Street • Portland, Oregon 97204  
PortlandGeneral.com

March 1, 2013

*via E-Mail and US Mail*

Public Utility Commission of Oregon  
Commission Filing Center  
550 Capitol Street NE, Suite 215  
Salem, OR 97301-2551

**Re: UF 4239 PGE Finance Application (Employee Stock Purchase Plan)**

In accordance with Condition No. 1 of Commission Order No. 07-163, dated April 30, 2007, enclosed is the following:

- 1) 2012 Employee Stock Purchase Plan (ESPP) Activity Report, which provides relevant information related to the 2012 activity of the ESPP.

This report is **Confidential** and **Subject** to treatment as prescribed under OAR 860-001-0070 (Confidential Information). This report is provided both in hardcopy and electronic format (CD). The hardcopy attachment has been placed on yellow paper in a separately sealed envelope bearing the legend "CONFIDENTIAL", and the electronic version on CD has been sealed as well. Please do not place the Confidential attachment on the OPUC website, nor release it to anyone outside the Public Utility Commission Staff. Please store both the hardcopy and the CD in a locked file cabinet. If you are unable to honor these requests, please notify us immediately.

If you have any questions, please feel free to contact me at (503) 464-7580.

Sincerely,

A handwritten signature in blue ink that reads "Alex Tooman for".

Patrick G. Hager  
Manager, Regulatory Affairs

Encls

cc: Matthew Muldoon, OPUC (w/enclosure)  
George Heath  
Jim Warberg  
Cheryl Chevis

**UF 4239 PGE Finance Application  
(Employee Stock Purchase Plan)  
2012 Compliance Filing**

**Confidential and Subject to  
OAR 860-001-0070**

2012 ESPP Activity Report