ENTERED

APR 0 7 2015

BEFORE THE PUBLIC UTILITY COMMISSION

OF OREGON

NC 340

| PUBLIC UTILITY COMMISSION OF OREGON, | |
|--------------------------------------|-------|
| Complainant, | |
| v. | ORDER |
| DAN HEADDING, | |
| Defendant. | |

DISPOSITION: STIPULATION ADOPTED

On March 19, 2015, the Public Utility Commission of Oregon filed a complaint against defendant. The complaint charged defendant with one violation of law, OAR 952-001-0050, and requested a penalty of \$1,000. On March 19, 2015, a copy of the complaint was served.

On April 1, 2015, the parties in these proceedings entered into a stipulation, which is attached as Appendix A.

Under the terms of the stipulation, defendant admits committing the alleged violation and agrees that the Commission may enter an order assessing civil monetary penalties. Defendant also agrees to complete, within 45 days following the entry of a final order, a training session with the Oregon Utility Notification Center Speakers Bureau on Oregon excavation laws.

The parties further agree that a portion of the penalties should be suspended, unless defendant fails to comply with any provision of the stipulation or violates any rule adopted by the Oregon Utility Notification Center under ORS 757.552 for a period of one year following the date of the Commission's final order in these proceedings.

The stipulation is adopted. From the foregoing, it is concluded that no hearing is required. Under the terms of the stipulation, the allegations of the complaint are true, and defendant has violated Oregon Administrative Rule as alleged. Under the provisions of ORS 757.993, penalties should be assessed against defendant under the terms and conditions of the stipulation.

ORDER

IT IS ORDERED that:

- 1. The stipulation, attached as Appendix A, is adopted.
- 2. Civil monetary penalties shall be assessed against DAN HEADDING in the amount of \$1,000 for one violation of law.
- 3. Defendant shall pay the sum of \$200, due and payable within 30 days from the date of service of this order. Payment shall be by money order made out to the **Public Utility Commission of Oregon**, and the memo line of the money order shall state the "NC" docket number in the caption of this order. (Mail payment to: Public Utility Commission of Oregon, PO Box 2153, Salem, OR 97308.)
- 4. Payment of the balance of the penalties (\$800) shall be suspended, unless:
 - (a) Defendant fails to comply with paragraph 3 above; or
 - (b) A showing is made that defendant has violated, within one year of the date of this order, any rules administered by the Oregon Utility Notification Center; or
 - (c) Defendant fails to timely complete a training session with the Oregon Utility Notification Center Speakers Bureau. Defendant shall contact the OUNC Speakers Bureau to arrange for, and attend a training session on Oregon excavation laws within 45 days of the entry of this order.

5. If defendant has not paid the amount assessed in paragraph 3 above within 30 days from the date of service of this order, or has not timely completed a training session on Oregon excavation laws within 45 days of the entry of this order, the unpaid balance of the amount assessed in paragraph 2 shall be due immediately following the expiration of either time period.

Made, entered, and effective APR 0 7 2015



Jason Eisdorfer

Director

Utility Program

A party may request rehearing or reconsideration of this order under ORS 756.561. A request for rehearing or reconsideration must be filed with the Commission within 60 days of the date of service of this order. The request must comply with the requirements in OAR 860-001-0720. A copy of the request must also be served on each party to the proceedings as provided in OAR 860-001-0180(2). A party may appeal this order by filing a petition for review with the Court of Appeals in compliance with ORS 183.480 through 183.484.

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| 4 | PUBLIC UTILITY COMMISSION OF OREGON, | | |
| 5 | Complainant, | STIPULATION FOR ENTRY OF ORDER | |
| 6 | v, | | |
| 7 | DAN HEADDING, | | |
| 8 | , , | | |
| 9 | Defendant. | | |
| 10 | The Public Utility Commission of Oregon, appearing by and through Johanna M. | | |
| 11 | Riemenschneider, Assistant Attorney General, and Dan Headding, the Defendant herein, hereby | | |
| 12 | stipulate as follows: | | |
| 13 | 1. | | |
| 14 | A Complaint in this case is pending before the Commission charging the Defendant with | | |
| 15 | one violation of law, OAR 952-001-0050. | | |
| 16 | 2. | | |
| 17 | Both parties to this proceeding are willi | ng to forego further processing of that Complaint | |
| 18 | and further are willing to resolve this matter on the basis of this Stipulation. | | |
| 19 | 3. | | |
| 20 | The Defendant admits that the violation | was committed as alleged in the Complaint and | |
| 21 | is willing for the Commission to enter an order finding that the violation was committed as | | |
| 22 | alleged in the Complaint. | | |
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| 24 | <i> </i> | | |
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| 26 | /// · · · · · · · · · · · · · · · · · · | · | |
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Department of Justice 1162 Court Street NE Salem, OR 97301-4096 (503) 947-4520 / Fax; (503) 378-3784

| Ł | | 4, |
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| 2 | Th | e parties further agree that the Commission may enter an order assessing civil |
| 3 | monetary | penalties against Defendant in the amount of \$1,000 under the following terms and |
| 4 | conditions | |
| 5 | A. | Defendant must sign and return this Stipulation within 20 days of the date it was |
| 6 | | served upon (mailed to) Defendant. |
| 7 | В. | \$200 becomes due and payable on or before the 30 th day following the Commission's |
| 8 | | entry of its order in this case. |
| 9 | C. | Payment must be by money order made out to the Public Utility Commission of |
| 10 | | Oregon, and the memo line of the money order must state the "NC" docket number |
| 11 | | in the caption of this Stipulation. |
| 12 | D. | Payment of the balance of the penalties (\$800) will be permanently suspended and no |
| 13 | | further penalties will be imposed for the violation alleged in the Complaint unless |
| 14 | | Defendant fails to comply with all of the terms of this Stipulation and all of the rules |
| 15 | | adopted by the Oregon Utility Notification Center (OUNC) under ORS 757.552 for a |
| 16 | | one-year period following the date of the Commission's entry of an order. |
| 17 | E. | Defendant must contact the OUNC Speakers Bureau online at |
| 18 | | www.digsafelyoregon.com or by calling (503) 232-1987 to arrange for and attend a |
| 19 | | training session on Oregon excavation laws within 45 days following the entry of the |
| 20 | | Commission's order. |
| 21 | F. | In the event that Complainant contends that Defendant has not complied with all of |
| 22 | | the terms of this Stipulation and all OUNC rules for that one-year period, |
| 23 | • | Complainant may reopen this proceeding and petition for imposition of all or a |
| 24 | | portion of the suspended penalties. In such case, Defendant is entitled to a hearing |
| 25 | • | and to be notified of the basis upon which Complainant contends that compliance has |
| 26 | | not occurred. |

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ORDER NO. 15 105

| 1 | G. Complainant's failure to enforce any provision of this Stipulation, or decision to |
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| 2 | waive any violation or nonperformance of this Stipulation in one instance, will not |
| 3 | constitute a waiver by the Complainant of that provision, any other provision, or any |
| 4 | other violation or nonperformance in another instance. |
| 5 | 5. |
| 6 | This Stipulation is conditioned upon final approval of its terms by the Commission. If |
| 7 | the Stipulation is not accepted in its entirety, it is deemed withdrawn. |
| 8 | |
| 9 | DATED this 19 th day of March 2015. |
| 10 | |
| 11 | Johanna M. Riemenschneider, #990083 |
| 12 | Senior Assistant Attorney General |
| 13 | Of Attorneys for the Public Utility Commission of Oregon |
| ι4 | |
| 15 | DATED this / day of April 2015. |
| 16 | |
| 17 | Defendant / Representative (signature) |
| 18 | |
| 19 | (Print Name) |
| 20 | (Finit Name) / |
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