

### COMPANY NAME:

DOES REPORT CONTAIN CONFIDENTIAL INFORMATION? No Yes If yes, submit a redacted public version (or a cover letter) by email. Submit the confidential information as directed in OAR 860-001-0070 or the terms of an applicable protective order.

Select report type: RE (Electric) RG (Gas) RW (Water) RT (Telecommunications)
RO (Other, for example, industry safety information)
Did you previously file a similar report? No Second Yes, report docket number: RG 23
Report is required by: OAR 860-027-0175
Statute
Order
Note: A one-time submission required by an order is a compliance filing and not a report
(file compliance in the applicable docket)
Other
(For example, federal regulations, or requested by Staff)
Is this report associated with a specific docket/case?

List Key Words for this report. We use these to improve search results.

#### Major Shareholders

Send the completed Cover Sheet and the Report in an email addressed to <u>PUC.FilingCenter@state.or.us</u>

Send confidential information, voluminous reports, or energy utility Results of Operations Reports to PUC Filing Center, PO Box 1088, Salem, OR 97308-1088 or by delivery service to 3930 Fairview Industrial Drive SE, Salem, OR 97302.

Avista Corp. 1411 East Mission P.O. Box 3727 Spokane. Washington 99220-0500 Telephone 509-489-0500 Toll Free 800-727-9170



February 10, 2017

Public Utility Commission of Oregon Administrative Regulatory Operations 550 Capitol St. N.E. Suite 215 Salem, OR 97301-2551

RE: Annual Compliance with OAR 860-027-0175 Section 2

Avista Corporation d/b/a/ Avista Utilities, hereby submits for filing an electronic copy of the major shareholder report in compliance with OAR 860-027-0175 Section 2.

#### Section 2

An energy utility must submit a written report to the Commission by March 1 of each calendar year.

(a) The report must list the energy utility's major shareholders and their respective percentages of beneficial ownership of the energy utility and parent(s), to the extent such information is then known to management of the energy utility; or, if there are no major shareholders, the report must state that there are none.

(b) Information in the report must be current as of December 31 of the previous year or a more recent date if so specified by the energy utility in the report.

Please see Attachment A for the annual report as of February 10, 2017.

If you have any questions regarding this report please contact me at (509) 495-4584.

Sincerely,

/s/ Paul Kimball

Paul Kimball Regulatory Analyst Avista Utilities

Enclosure

cc (Email): CUB (dockets@oregoncub.org)

# Avista Utilities

## OAR 860-027-0175 Major Shareholders Annual Report

As of February 10, 2017

<u>Date</u> December 31, 2016 December 31, 2016 Major Shareholders BlackRock, Inc. The Vanguard Group Percentages of Ownership<sup>1</sup> 17.3% 9.38%

<sup>&</sup>lt;sup>1</sup> As reported on Securities and Exchange Commission form SC 13G Item 4 - Ownership.