

DOCKET NO. UM 1688

**Cover Sheet for Submission of
2014 Annual ETC Certification Reports**

Name of Eligible Telecommunications Carrier: Cascade Utilities, Inc.

Filing date: 7/1/14

Is this: Original submission? X

OR

Revised submission? _____

Person to contact for questions:

Name Summer McPherson

Phone number 503-630-8977

E-mail address mcphersons@cuaccess.net

Documents included in this filing (please check applicable items):

CAF/ICC Support (47 CFR § 54.304)

Rate Floor Data (47 CFR § 54.313(h))

Form 481 (High-cost per 47 CFR § 54.313, Low-income per 54.422)¹

Form 690 (Mobility Fund per 47 CFR § 54.1009)

Affidavit for High-Cost Support

Filing deadlines: The deadlines for filing items required by 47 CFR § 54 are the same as the deadlines for filing with the FCC. The notarized affidavit for high-cost support must be filed no later than the due date for the FCC Form 481. Based on current information, it appears that all items other than CAF/ICC support data are due by July 1, 2014. The CAF/ICC support data are due the same day as the ETC's interstate access tariff filing.

If revisions to an original submission are filed with the FCC or USAC, a copy of the revisions must be filed with the Oregon Commission no later than five business days following submission to the FCC or USAC.

¹ Lifeline-only ETCs must provide all information specified in 47 CFR § 54.422(b) even if the ETC does not submit this information to the FCC.



CASCADE UTILITIES, INC.

PO Box 189 Estacada, Oregon. 97023
Fax (503) 630-8934
Phone (503) 630-4202

Date July 1, 2014

Electronic Filing

Ms. Marlene H. Dortch
Office of Secretary
Federal Communications Commission
445 12th Street, SW
Washington, DC 20554

Re: WC Docket No. 10-90 & 11-42
Annual §54.313/54.422 Report of High-Cost and Low Income Recipient, Form 481

Dear Ms. Dortch:

Enclosed herein is the annual report for **Cascade Utilities, Inc.**, Study Area Code **532371** pursuant to §54.313/54.422 of the Commission's rules. "CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION." **Two copies** of the Confidential Financial Information is **REDACTED-FOR PUBLIC INSPECTION**.

Please contact me with any questions at:

Phone: 503-630-8977
Email: mcphersons@cuaccess.net

Sincerely,
Summer McPherson, Revenue Lead

Enclosure Copies to:

Charles Tyler
Telecommunications Commissions
Filing Confidential
445 12th Street, S.W. Room 5-A452
Washington, D.C. 20554

Universal Service Administrative Company
Electronic Filing Confidential
Washington, DC 20036

Public Utility Commission
Electronic Filing Confidential & Redacted

<010> Study Area Code	532371
<015> Study Area Name	CASCADE UTIL INC
<020> Program Year	2015
<030> Contact Name: Person USAC should contact with questions about this data	Summer McPherson
<035> Contact Telephone Number: Number of the person identified in data line <030>	5036308977 ext.
<039> Contact Email Address: Email of the person identified in data line <030>	mcpersons@cuaccess.net

ANNUAL REPORTING FOR ALL CARRIERS	54,313	54,422
	Completion Required	Completion Required

			(check box when complete)	
<100>	Service Quality Improvement Reporting	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<200>	Outage Reporting (voice)	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210>	<input checked="" type="checkbox"/> <-- check box if no outages to report		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<300>	Unfulfilled Service Requests (voice) <input type="text" value="0"/>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<310>	Detail on Attempts (voice) <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<i>(attach descriptive document)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<320>	Unfulfilled Service Requests (broadband) <input type="text" value="0"/>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<330>	Detail on Attempts (broadband) <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<i>(attach descriptive document)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<400>	Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410>	Fixed <input type="text" value="0.0"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420>	Mobile <input type="text"/>		<input type="checkbox"/>	<input type="checkbox"/>
<430>	Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<440>	Fixed <input type="text" value="0.0016"/>		<input type="checkbox"/>	<input type="checkbox"/>
<450>	Mobile <input type="text" value="0.0"/>		<input type="checkbox"/>	<input type="checkbox"/>
<500>	Service Quality Standards & Consumer Protection Rules Compliance <i>(check to indicate certification)</i>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510>	<div style="border: 1px solid black; padding: 5px;">532371or510.pdf</div>	<i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600>	Functionality in Emergency Situations <i>(check to indicate certification)</i>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610>	<div style="border: 1px solid black; padding: 5px;">532371or610.pdf</div>	<i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700>	Company Price Offerings (voice)	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<710>	Company Price Offerings (broadband)	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<800>	Operating Companies and Affiliates <i>(complete attached worksheet)</i>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900>	Tribal Land Offerings (Y/N)? <input type="radio"/> <input checked="" type="radio"/> <i>(if yes, complete attached worksheet)</i>		<input type="checkbox"/>	<input type="checkbox"/>
<1000>	Voice Services Rate Comparability <i>(check to indicate certification)</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1010>	<div style="border: 1px solid black; padding: 5px;">532371or1010.pdf</div>	<i>(attach descriptive document)</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1100>	Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/> <i>(if not, check to indicate certification)</i>		<input type="checkbox"/>	<input type="checkbox"/>
<1110>	<i>(complete attached worksheet)</i>		<input type="checkbox"/>	<input type="checkbox"/>
<1200>	Terms and Condition for Lifeline Customers <i>(complete attached worksheet)</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	<i>(check to indicate certification)</i>	
<2005>	<i>(complete attached worksheet)</i>	
Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet		
<3000>	<i>(check to indicate certification)</i>	
<3005>	<i>(complete attached worksheet)</i>	

**(100) Service Quality Improvement Reporting
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcphersons@cuaccess.net

<110> Has your company received its ETC certification from the FCC? (yes / no)
 If your answer to Line <110> is yes, do you have an existing §54.202(a) "5
 year plan" filed with the FCC? (yes / no)

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.



Name of Attached Document

Please check these boxes below to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

<113> Maps detailing progress towards meeting plan targets
 <114> Report how much universal service (USF) support was received
 <115> How (USF) was used to improve service quality
 <116> How (USF) was used to improve service coverage
 <117> How (USF) was used to improve service capacity
 <118> Provide an explanation of network improvement targets not met in the prior calendar year.

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

(200) Service Outage Reporting (Voice)
Data Collection Form

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcphersons@cuaccess.net

<270>	<a>	<b1>	<b2>	<b3>	<b4>	<c1>	<c2>	<d>	<e>	<f>	<g>	<h>
NORS Reference Number	Outage Start Date	Outage Start Time	Outage End Date	Outage End Time	Number of Customers Affected	Total Number of Customers	911 Facilities Affected (Yes / No)	Service Outage Description (Check all that apply)	Did This Outage Affect Multiple Study Areas (Yes / No)	Service Outage Resolution	Preventative Procedures	

**(710) Broadband Price Offerings
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcpherson@cuaccess.net

<711>	<a1>	<a2>	<b1>	<b2>	< >	<d1>	<d2>	<d3>	<d4>
State	Exchange (ILEC)	Residential Rate	State Regulated Fees	Total Rate and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached (select)	

See attached worksheet

(800) Operating Companies
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code 532371

<015> Study Area Name CASCADE UTIL INC

<020> Program Year 2015

<030> Contact Name - Person USAC should contact regarding this data Summit McPherson

<035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> mcperson@cuaccess.net

<810> Reporting Carrier Cascade Utilities, Inc.

<811> Holding Company Day Management Corporation

<812> Operating Company Reliance Connects

<813> <a1> <a2> <a3>

Affiliates SAC Doing Business As Company or Brand Designation

-- See attached worksheet --

**(900) Tribal Lands Reporting
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcphersons@cuacces.net

<910> Tribal Land(s) on which ETC Serves

<920> Tribal Government Engagement Obligation

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each of these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

Select (Yes, No, NA)

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions.
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

(1100) No Terrestrial Backhaul Reporting
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	532371
<015>	Study Area Name	CASCADE UTIL INC
<020>	Program Year	2015
<030>	Contact Name - Person USAC should contact regarding this data	Summer McPhetsch
<035>	Contact Telephone Number - Number of person identified in data line <030>	5036308977 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	mcphe@sonas.cuaccess.net

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

(1200) Terms and Condition for Lifeline Customers
 Lifeline
 Data Collection Form

FCC Form 481
 OMB Control No. 3060-0986/OMB Control No. 3060-0819
 July 2013

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcpherson@cuaaccess.net

532371or1210.pdf

Name of Attached Document

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

<1220> Link to Public Website HTTP

"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCS receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

FCC Form 481
 OMB Control No. 3060-0986/OMB Control No. 3060-0819
 July 2013

(2000) Price Cap Carrier Additional Documentation
Data Collection Form
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<010> Study Area Code 532371
 <015> Study Area Name CASCADIA UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Summer McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcphe@sonicnet.com

CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.

Incremental Connect America Phase I reporting	<input type="checkbox"/>
2nd Year Certification (47 CFR § 54.313(b)(1))	<input type="checkbox"/>
3rd Year Certification (47 CFR § 54.313(b)(2))	<input type="checkbox"/>
Price Cap Carrier Receiving Frozen Support Certification (47 CFR § 54.312(e))	<input type="checkbox"/>
2013 Frozen Support Certification	<input type="checkbox"/>
2014 Frozen Support Certification	<input type="checkbox"/>
2015 Frozen Support Certification	<input type="checkbox"/>
2016 and future Frozen Support Certification	<input type="checkbox"/>
Price Cap Carrier Connect America ICC Support (47 CFR § 54.313(d))	<input type="checkbox"/>
Certification Support Used to Build Broadband	<input type="checkbox"/>
Connect America Phase II Reporting (47 CFR § 54.313(e))	<input type="checkbox"/>
3rd year Broadband Service Certification	<input type="checkbox"/>
5th year Broadband Service Certification	<input type="checkbox"/>
Interim Progress Certification	<input type="checkbox"/>
<2020>	<input type="checkbox"/>

Please check the box to confirm that the attached document(s), on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

<2021> Interim Progress Community Anchor Institutions

Name of Attached Document Listing Required Information

(3000) Rate Of Return Carrier Additional Documentation
Data Collection Form

<010> Study Area Code 532371
 <015> Study Area Name CASCADE UTIL INC
 <020> Program Year 2015
 <030> Contact Name - Person USAC should contact regarding this data Sumner McPherson
 <035> Contact Telephone Number - Number of person identified in data line <030> 5036308977 ext.
 <039> Contact Email Address - Email Address of person identified in data line <030> mcphe@sonnetcommunications.net

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

(3010) Progress Report on 5 Year Plan
 Milestone Certification, (47 CFR § 54.313(f)(1)(i))

Name of Attached Document Listing Required Information

(3011) Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(i), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

Name of Attached Document Listing Required Information

(3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Name of Attached Document Listing Required Information (Yes/No) (Yes/No)

(3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))

(3014) If yes, does your company file the RUS annual report

Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

(3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)

(3016) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

(3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

Name of Attached Document Listing Required Information (Yes/No) (Yes/No)

(3018) If the response is no on line 3014, is your company audited?
 If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications

(3019) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

(3020) Management letter issued by the independent certified public accountant that performed the company's financial audit:
 If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

(3021) Copy of their financial statement which has been subject to review by an independent certified public accountant, or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,

(3022) Underlying information subjected to a review by an independent certified public accountant

(3023) Underlying information subjected to an officer certification.

(3024) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

(3025) Attach the worksheet listing required information

Name of Attached Document Listing Required Information

Certification - Reporting Carrier
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code	532371
<015> Study Area Name	CASCADE UTIL INC
<020> Program Year	2015
<030> Contact Name - Person USAC should contact regarding this data	Summer McPherson
<035> Contact Telephone Number - Number of person identified in data line <030>	5036308977 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	mcpersons@cuaccess.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	CASCADE UTIL INC
Signature of Authorized Officer:	CERTIFIED ONLINE Date 06/27/2014
Printed name of Authorized Officer:	Brooke Wheeler
Title or position of Authorized Officer:	CFO
Telephone number of Authorized Officer:	5036308952 ext.
Study Area Code of Reporting Carrier:	532371 Filing Due Date for this form: 07/01/2014
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
---	--

<010> Study Area Code	532371
<015> Study Area Name	CASCADE UTIL INC
<020> Program Year	2015
<030> Contact Name - Person USAC should contact regarding this data	Summer McPherson
<035> Contact Telephone Number - Number of person identified in data line <030>	5036308977 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	mcpersons@cuaccess.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent: _____	
Name of Reporting Carrier: _____	
Signature of Authorized Officer: _____	Date: _____
Printed name of Authorized Officer: _____	
Title or position of Authorized Officer: _____	
Telephone number of Authorized Officer: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier: _____	
Name of Authorized Agent or Employee of Agent: _____	
Signature of Authorized Agent or Employee of Agent: _____	Date: _____
Printed name of Authorized Agent or Employee of Agent: _____	
Title or position of Authorized Agent or Employee of Agent: _____	
Telephone number of Authorized Agent or Employee of Agent: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Attachments



CASCADE UTILITIES, INC.

PO Box 189 Estacada, Oregon. 97023

Fax (503) 630-8934

Phone (503) 630-4202

5 Year Service Quality Improvement Plan

FCC Form 481

REDACTED FOR PUBLIC VIEW

"CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION."



CASCADE UTILITIES, INC.

PO Box 189 Estacada, Oregon. 97023
Fax (503) 630-8934
Phone (503) 630-4202

Consumer Protection

Cascade Utilities, Inc. complies with the requirements of 47 CFR Part 64 Subpart U, Customer Proprietary Network Information and the Federal Trade Commission Red Flag rules to prevent identity theft. A manual for each of those programs is in place and is part of the employees' handbook. Employee training is conducted annually and new hires are instructed on the programs as required by their job functions.

Service Quality Standards

Voice

Cascade Utilities, Inc. complies with the service standards of the State of Oregon as promulgated in the Oregon Administrative Rules **860-034-0390**, Retail Telecommunications Service Standards for Small Telecommunications Utilities.

Broadband

Cascade Utilities, Inc. complies with the service standards as noted in NECA Tariff #5 and is committed to provide the highest quality service to its broadband customers..

“CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION.”



CASCADE UTILITIES, INC.

PO Box 189 Estacada, Oregon. 97023

Fax (503) 630-8934

Phone (503) 630-4202

Cascade Utilities is able to remain functional in an emergency situation. Please see the specific information below in regard to back-up power, ability to reroute traffic around damaged facilities, and the capability to manage traffic spikes resulting from emergency situations.

REDACTED FOR PUBLIC VIEW

“CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION.”



CASCADE UTILITIES, INC.

PO Box 189 Estacada, Oregon. 97023
Fax (503) 630-8934
Phone (503) 630-4202

Self-Certification -Comparable Voice for Cascade Utilities, Inc.

REDACTED FOR PUBLIC VIEW

“CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION.”

54.313 Lifeline customers MOU and additional toll charges

Lifeline subscribers receive the same residential service as a regular subscriber, but at a reduced monthly recurring rate. Thus, lifeline subscribers have an unlimited number of local calling minutes. As for toll, lifeline subscribers, similar to every Cascade Utilities Inc., subscriber, are free to choose their own toll usage plans through IXCs that serves Cascade Utilities, Inc.

Oregon Telephone Assistance Program (OTAP)/Lifeline Application

Oregon Public Utility Commission
PO Box 2148, Salem OR 97308
1-800-848-4442 or 503-373-7171
1-800-648-3458 (TTY)
971-239-5845 (Videophone)
Fax: 1-877-567-1977 or 503-378-6047
puc.rspf@state.or.us

You may qualify if you participate in one of the following programs:

Supplemental Nutrition Assistance Program; Food Stamps (SNAP)
Supplemental Security Income (SSI)
Temporary Assistance for Needy Families (TANF)
National School Lunch Program; *Free Lunch Program Only (NSLP)*
Certain State Medical Programs or Certain Medicaid Programs
at or below 135% of the federal poverty guidelines

How to apply: To apply for this program or obtain more information, please contact the OTAP staff at 1-800-848-444. Or you may complete an application online at: www.rspf.org

Oregon Telephone Assistance Program (OTAP)/Lifeline Application

You may complete an OTAP/Lifeline application online at: www.rspf.org

Oregon Public Utility Commission
 PO Box 2148, Salem OR 97308
 1-800-848-4442 or 503-373-7171
 1-800-648-3458 (TTY)
 971-239-5845 (Videophone)
 Fax: 1-877-567-1977 or 503-378-6047
puc.rspf@state.or.us

Please **PRINT** clearly and **SIGN** on page 2.

If you have a situation that prevents you from providing certain information, please contact us for assistance.

Applicant's Legal Name (Last, First, M.I.) (Applicant's legal name MUST be on phone bill)		Applicant's Social Security No.	Applicant's Birth Date	
		- -	/ /	
Applicant's Home Address	Is this a temporary address? <input type="checkbox"/> Yes <input type="checkbox"/> No	Apt. #	City	State OR
				ZIP
Applicant's Mailing Address (if different from your home address)		Apt. #	City	State OR
				ZIP
Applicant's Phone Company (As listed on page 3)	Applicant's Phone Number () -		Applicant's E-mail Address	

I participate in the following qualifying programs (Check any that apply):

- SNAP** (Supplemental Nutrition Assistance Program; Food Stamps)
- SSI** (Supplemental Security Income)
- TANF** (Temporary Assistance for Needy Families)
- Certain State Medical Programs or Certain Medicaid Programs**
at or below 135% of the federal poverty guidelines

Supporting documentation is required for the following program:

- NSLP*** (National School Lunch Program; *Free Lunch Program Only*)
*Please provide a copy of the official letter from your school district indicating your current participation.

Please continue to page 2 

Please completely READ and SIGN this form that indicates you understand and agree to comply with the following Oregon Telephone Assistance Program (OTAP)/Lifeline rules:

- I understand that completing this application does not immediately approve me for the OTAP/Lifeline benefit. I will be notified in writing of my application status.
- I understand it may take 30-90 days for the phone company to apply the OTAP/Lifeline benefit to my phone bill.
- I give the Oregon Public Utility Commission (PUC) authority to obtain or review any required records needed to confirm my statements and to confirm that I qualify for the OTAP/Lifeline. I also authorize the phone company to release any required records for my OTAP/Lifeline benefit.
- I am head of household and no one else in my household receives landline or wireless OTAP/Lifeline service.
- I understand that the OTAP/Lifeline credit is only allowed for **ONE PHONE LINE PER HOUSEHOLD**
 - A household is defined as any persons who live together at the same address and share income and expenses.
- I understand that if I break or violate the one-per-household rule I will no longer qualify for the OTAP/Lifeline program.
- I agree to let the PUC know within 30 days if:
 - I no longer qualify for the OTAP/Lifeline benefit
 - I no longer take part in a qualifying program
 - I receive more than one OTAP/Lifeline benefit
 - Another member of my household is also receiving the OTAP/Lifeline benefit
- I understand that I have 30 days to notify the PUC if I no longer qualify for the OTAP/Lifeline benefit or I may be removed from the program.
- I agree to notify the PUC of address changes within 30 days of moving.
- I understand that my OTAP/Lifeline benefit may not be transferred or given to any other person.
- I understand that I may be required to confirm that I still qualify for the OTAP/Lifeline benefit at any time and that, if I do not comply, my OTAP/Lifeline benefits will stop.
- I understand that OTAP/Lifeline is a state and federal benefit and willfully making false statements or providing false or fraudulent documents to obtain the benefit is punishable by law and can result in fines, imprisonment, disqualification or being permanently removed from the program.

By signing this application I certify under penalty of perjury that the information contained in this application is true and correct and that I meet the eligibility criteria for the OTAP/Lifeline benefit.

Applicant Signature _____

Print Name _____ Date _____

**Please Mail Application to: PUC, PO Box 2148, Salem OR 97308
or Fax to: 1-877-567-1977 or 503-378-6047**

Do you have questions? Call us at 1-800-848-4442 or 503-373-7171

Oregon Telephone Assistance Program (OTAP)/Lifeline Application

You may complete an OTAP/Lifeline application online at: www.rspf.org

Oregon Public Utility Commission
 PO Box 2148, Salem OR 97308
 1-800-848-4442 or 503-373-7171
 1-800-648-3458 (TTY)
 971-239-5845 (Videophone)
 Fax: 1-877-567-1977 or 503-378-6047
puc.rspf@state.or.us

The Oregon Public Utility Commission (PUC) manages the Oregon Telephone Assistance Program (OTAP), also known as Lifeline. If you qualify, this federal and state government assistance program reduces your monthly phone bill by \$12.75.

You may qualify if you participate in one of the following programs:

- Supplemental Nutrition Assistance Program; Food Stamps (SNAP)
- Supplemental Security Income (SSI)
- Temporary Assistance for Needy Families (TANF)
- National School Lunch Program; *Free Lunch Program Only* (NSLP)
- Certain State Medical Programs or Certain Medicaid Programs at or below 135% of the federal poverty guidelines

Landline phone companies that provide the OTAP/Lifeline benefit:

Asotin	Frontier	Nehalem	Roome Tel Com
Beaver Creek	Gervais	North State	Scio Mutual
Canby Co-Op	Helix	Oregon Tel. Corp.	St. Paul
CenturyLink	Home/TDS	Oregon/Idaho	Stayton Co.
Clear Creek	Molalla	People's	Warm Springs
Colton	Monitor	Pine Phone Co.	
ComSpan	Monroe	Pioneer	
Eagle	Mt. Angel	Reliance Connects	

Wireless phone companies that provide the OTAP/Lifeline benefit:

AT&T Mobility* -in select areas	Cricket	Snake River PCS	US Cellular
---------------------------------	---------	-----------------	-------------

The OTAP/Lifeline benefit cannot be applied to Pay-As-You-Go Plans.

*AT&T Mobility only offers the OTAP/Lifeline benefit in select areas.
 Call 1-800-377-9450 to determine if the OTAP/Lifeline benefit is offered in your coverage area.



AKT

CPAS AND BUSINESS CONSULTANTS

INDEPENDENT AUDITORS' REPORT

To the Board of Directors
Cascade Utilities, Inc. and Subsidiary
Estacada, Oregon

We have audited the accompanying consolidated financial statements of Cascade Utilities, Inc. and Subsidiary (the Company), which comprise the consolidated balance sheets as of December 31, 2013 and 2012, and the related consolidated statements of income, comprehensive income, changes in stockholders' equity, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America, and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Cascade Utilities, Inc. and Subsidiary at December 31, 2013 and 2012, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

680 HAWTHORNE AVENUE SE, #140, SALEM, OR 97301

PHONE: 503.595.7774 FAX: 503.364.8405

PORTLAND, OR | SALEM, OR | CARLSBAD, CA | ESCONDIDO, CA | SAN DIEGO, CA | ANCHORAGE, AK

AKT LLP

Report on Consolidating Information

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The consolidating information in the accompanying schedules is presented for purposes of additional analysis of the consolidated financial statements rather than to present the financial position, results of operations, and cash flows of the individual companies, and it is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The consolidating information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the consolidating information is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued a report dated April 22, 2014, on our consideration of Cascade Utilities, Inc. and Subsidiary's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Cascade Utilities, Inc. and Subsidiary's internal control over financial reporting and compliance.

AKT LLP

Salem, Oregon
April 22, 2014

CASCADE UTILITIES, INC. and SUBSIDIARY

Consolidated Balance Sheets

December 31, 2013 and 2012

ASSETS

	<u>2013</u>	<u>2012</u>
Current Assets:		
Cash and cash equivalents		
Marketable securities		
Accounts receivable	R	R
Accounts receivable, related parties		
Interest and dividends receivable	E	E
Materials and supplies		
Income taxes receivable	D	D
Prepaid expenses		
Total Current Assets	A	A
	C	C
Other Assets and Investments:		
Notes receivable, related parties	T	T
Other assets		
Prepaid pension cost	E	E
Marketable securities		
Investments	D	D
Goodwill		
Total Other Assets and Investments		
	Hard	Hard
Property, Plant, and Equipment:	Copy	Copy
Telecommunications		
Under construction	To	To
	Be	Be
Less accumulated depreciation		
Telecommunications, net	Mailed	Mailed
Cable television property, net		
Nonregulated property, net		
Property, Plant, and Equipment, net		

LIABILITIES AND STOCKHOLDERS' EQUITY

	<u>2013</u>	<u>2012</u>
Current Liabilities:		
Current portion of long-term debt		
Accounts payable	R	R
Accrued expenses		
Income taxes payable	E	E
Customer deposits		
Advance billings	D	D
Deferred income tax		
Total Current Liabilities	A	A
	C	C
Long-Term Liabilities:		
Long-term debt	T	T
Deferred income tax		
Total Long-Term Liabilities	E	E
Total Liabilities	D	D
Stockholders' Equity:		
Common stock, authorized 1,000,000 shares, no par value:		
104,025 shares issued and outstanding		
Retained earnings	Hard	Hard
Accumulated other comprehensive income (loss)		
Total Stockholders' Equity	Copy	Copy
	To	To
	Be	Be
	Mailed	Mailed

CASCADE UTILITIES, INC. and SUBSIDIARY

Consolidated Statements of Income

Years Ended December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Operating Revenues:		
Local network services		
Network access services		
Miscellaneous operating	R	R
Uncollectible revenues	E	E
Total Operating Revenues	D	D
Operating Expenses:		
Plant specific	A	A
Plant nonspecific		
Customer	C	C
Corporate		
Depreciation and amortization	T	T
Total Operating Expenses	E	E
Operating Taxes:		
Operating income taxes	D	D
Other operating taxes		
Total Operating Expense and Taxes		
Operating Income	Hard	Hard
Other Income (Expense):	Copy	Copy
Non-operating income and expense		
Interest expense	To	To
Nonregulated operations, net		
Cable television, net	Be	Be
Nonregulated income taxes		
Non-operating income taxes	Mailed	Mailed
Total Other Income		
Net Income		

CASCADE UTILITIES, INC. and SUBSIDIARY

Consolidated Statements of Cash Flows

Years Ended December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Cash Flows from Operating Activities:		
Net income		
Adjustments to reconcile net income to net cash provided (used) by operating activities:		
Depreciation and amortization	R	R
Nonregulated depreciation	E	E
Income from equity method investments		
Change in deferred taxes	D	D
Amortization of bond premium		
Realized loss on sale of marketable securities	A	A
Realized gain on sale of investment		
Accrued pension costs	C	C
Changes in assets and liabilities:		
Accounts receivable	T	T
Accounts receivable, related parties		
Interest and dividends receivable	E	E
Material and supplies		
Income taxes receivable and payable	D	D
Prepaid expenses		
Accounts payable		
Accrued expenses		
Customer deposits		
Advance billings	Hard	Hard
Net Cash Provided (Used) by Operating Activities	Copy	Copy
Cash Flows from Investing Activities:		
Capital expenditures	To	To
Purchase of investments and marketable securities		
Proceeds from investments and marketable securities	Be	Be
Proceeds from notes receivable, related parties		
Issuances of notes receivable, related parties	Mailed	Mailed
Distributions received from investments		
Change in other assets		
Net Cash Used by Investing Activities		

CASCADE UTILITIES, INC. and SUBSIDIARY
Consolidated Statements of Cash Flows, continued
Years Ended December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Cash Flows from Financing Activities:		
Proceeds from long-term debt		
Payments on long-term debt	R	R
Proceeds from ARRA BIP grant		
Dividends paid	E	E
Net Cash Provided by Financing Activities	D	D
Net Change in Cash and Cash Equivalents	A	A
Cash and cash equivalents, beginning	C	C
Cash and cash equivalents, ending	T	T
Cash Paid During the Year for Taxes	E	E
Cash Paid During the Year for Interest	D	D
Noncash Activities:		
Additions to property, plant and equipment included in accounts payable	D	D
	Hard	Hard
	Copy	Copy
	To	To
	Be	Be
	Mailed	Mailed

CASCADE UTILITIES INC. and SUBSIDIARY
Notes to the Consolidated Financial Statements
Years Ended December 31, 2013 and 2012

Note 8 - Long-Term Debt

Long-term debt consists of the following:

		<u>2013</u>	<u>2012</u>
R	R	R	R
E	E	E	E
D	D	D	D
A	A	A	A
C	C	C	C
T	T	T	T
E	E	E	E
D	D	D	D
Hard	Hard	Hard	Hard
Copy	Copy	Copy	Copy
To	To	To	To
Be	Be	Be	Be
Mailed	Mailed	Mailed	Mailed


CASCADE UTILITIES INC. and SUBSIDIARY

Notes to the Consolidated Financial Statements

Years Ended December 31, 2013 and 2012

Note 9 - Income Taxes, continued

Income tax (benefit) expense consists of the following as of December 31:

		<u>2013</u>	<u>2012</u>
Operating:			
			
R	R	R	R
E	E	E	E
D	D	D	D
A	A	A	A
C	C	C	C
T	T	T	T
E	E	E	E
D	D	D	D
Hard	Hard	Hard	Hard
Copy	Copy	Copy	Copy
To	To	To	To
Be	Be	Be	Be
Mailed	Mailed	Mailed	Mailed

CASCADE UTILITIES INC. and SUBSIDIARY

Notes to the Consolidated Financial Statements

Years Ended December 31, 2013 and 2012

Note 10 - Related Party Transactions, continued

	R				R
	E	2			E
)	D)		D
)	A)		A
:	C		:		C
.	T		.		T
:	E		:		E
)	D)		D

CONFIDENTIAL

SUPPLEMENTAL INFORMATION

"CONFIDENTIAL FINANCIAL INFORMATION - SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS.
10-90, 07-135, 05-337, 03-109, CC DOCKET NOS. 01-92, 96-45,
GN DOCKET NO. 09-51, WT DOCKET NO/ 10-208, BEFORE THE FEDERAL COMMUNICATIONS
COMMISSION."

CASCADE UTILITIES, INC. and SUBSIDIARY
Consolidating Balance Sheets
December 31, 2013

ASSETS	Cascade Utilities Inc.	Rio Virgin Telephone Company	Eliminations	Consolidated
Current Assets:				
Cash and cash equivalents				
Marketable securities				
Accounts receivable		R		R
Accounts receivable, related parties				
Interest and dividends receivable		E		E
Materials and supplies				
Income taxes receivable		D		D
Prepaid expenses				
Total Current Assets		A		A
Other Assets and Investments:				
Notes receivable, related parties		C		C
Other assets				
Prepaid pension cost		T		T
Marketable securities				
Investments		E		E
Investments, affiliate				
Goodwill		D		D
Total Other Assets and Investments				
Property, Plant, and Equipment:				
Telecommunications			Hard	Hard
Under construction			Copy	Copy
Less accumulated depreciation			To	To
Telecommunications, net			Be	Be
Cable television property, net				
Nonregulated property, net			Mailed	Mailed
Property, Plant, and Equipment, net				

\$

**LIABILITIES AND
STOCKHOLDERS' EQUITY**

Current Liabilities:

Current portion of long-term debt

Accounts payable

Accrued expenses

Income taxes payable

Customer deposits

Advance billings

Deferred income tax

Total Current Liabilities

Long-Term Liabilities:

Long-term debt

Deferred income tax

Total Long-Term Liabilities

Total Liabilities

Stockholders' Equity:

Common stock

Retained earnings

Accumulated other
comprehensive income

Stockholders' Equity

Cascade
Utilities
Inc.

Rio Virgin
Telephone
Company

Eliminations

Consolidated

R

R

E

E

D

D

A

A

C

C

T

T

E

E

D

D

Hard

Hard

Copy

Copy

To

To

Be

Be

Mailed

Mailed

CASCADE UTILITIES, INC. and SUBSIDIARY

Consolidating Statements of Income

Year Ended December 31, 2013

Schedule II

	<u>Cascade Utilities Inc.</u>	<u>Rio Virgin Telephone Company</u>	<u>Eliminations</u>	<u>Consolidated</u>
Operating Revenues:				
Local network services				
Network access services				
Miscellaneous operating		R	R	
Uncollectible revenues				
Total Operating Revenues		E	E	
Operating Expenses:		D	D	
Plant specific				
Plant nonspecific		A	A	
Customer				
Corporate		C	C	
Depreciation and amortization				
Total Operating Expenses		T	T	
Operating Taxes:		E	E	
Operating income taxes				
Other operating taxes		D	D	
Total Operating Expense and Taxes				
Operating Income		Hard	Hard	
Other Income (Expense):				
Non-operating income and expense		Copy	Copy	
Interest expense				
Nonregulated operations, net		To	To	
Cable television, net				
Nonregulated income taxes		Be	Be	
Non-operating income taxes				
Income from subsidiary		Mailed	Mailed	
Total Other Income				
Net Income	\$			

CASCADE UTILITIES, INC. and SUBSIDIARY

Consolidating Statements of Cash Flows

Year Ended December 31, 2013

Schedule III

	<u>Cascade Utilities, Inc</u>	<u>Rio Virgin Telephone Company</u>	<u>Eliminations</u>	<u>Consolidated</u>
Cash Flows from Operating Activities:				
Net income				
Adjustments to reconcile net income to net cash provided (used) by operating activities:				
Depreciation and amortization		R		R
Nonregulated depreciation		E		E
Income from equity method investments		D		D
Income from subsidiary		A		A
Change in deferred taxes		A		A
Amortization of bond premium		A		A
Realized (gain) loss on sale of marketable securities		C		C
Realized gain on sale of investment		T		T
Accrued pension costs		T		T
Changes in assets and liabilities:				
Accounts receivable		E		E
Accounts receivable, related parties		E		E
Interest and dividends receivable		D		D
Material and supplies		D		D
Income taxes receivable and payable				
Prepaid expenses				
Accounts payable				
Accrued expenses		Hard		Hard
Customer deposits		Hard		Hard
Advance billings		Copy		Copy
Net Cash Provided (Used) by Operating Activities		To		To
Cash Flows from Investing Activities:				
Capital expenditures				
Purchase of investments and marketable securities		Be		Be
Proceeds from investments and marketable securities		Mailed		Mailed
Proceeds from notes receivable, related parties				
Issuances of notes receivable, related parties				
Distributions received from investments				
Change in other assets				
Net Cash Provided (Used) by Investing Activities				

CASCADE UTILITIES, INC. and SUBSIDIARY
Consolidating Statement of Cash Flows, continued
Year Ended December 31, 2013

Schedule III, continued

	<u>Cascade Utilities, Inc.</u>	<u>Rio Virgin Telephone Company</u>	<u>Eliminations</u>	<u>Consolidated</u>
Cash Flows from Financing Activities:				
Proceeds from long-term debt				
Payments on long-term debt	R	R		
Proceeds from ARRA BIP grant				
Dividends paid	E	E		
Net Cash Provided (Used) by Financing Activities	D	D		
Net Change in Cash and Cash Equivalents	A	A		
Cash and cash equivalents, beginning	C	C		
Cash and cash equivalents, ending	T	T		
Cash Paid During the Year for Taxes	E	E		
Cash Paid During the Year for Interest	D	D		
Noncash Activities:				
Additions to property, plant and equipment included in accounts payable				

Confidential

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 3331. The time required to complete this information collection is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

USDA-RUS FINANCIAL AND STATISTICAL REPORT FOR BROADBAND BORROWERS	This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential.	
	BORROWER NAME CASCADE UTILITIES, INC.	
	ADDRESS ESTACADA, Oregon	
INSTRUCTIONS-Submit report to RUS within 15 days after close of the period.	PERIOD ENDING December, 2013	BORROWER DESIGNATION OR1108

CERTIFICATION

We hereby certify that:
 1. the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief; and

2. we have fulfilled our obligations under the Loan Documents throughout the year in all material respects

ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES.

All of the obligations under the RUS loan documents have been fulfilled in all material respects.

There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the notes section of this report.

Brenda Crosby

04/24/2014

DATE

PART A. BALANCE SHEET

ASSETS	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE END OF PERIOD
CURRENT ASSETS		CURRENT LIABILITIES	
1. Cash and Equivalents		16. Accounts Payable	
2. Cash-RUS Construction Fund		17. Notes Payable	
3. Accounts Receivable		18. Current Mat. L/T Debt - RLIS	
4. Notes Receivable		19. Current Mat. UT Debt-Other	
5. Materials and Inventory	R	20. Current Mat.-Capital Leases	R
6. Other Current Assets		21. Other Current Liabilities	
Total Current	E	Total Current	E
7. Assets (1 thru 6)	D	22. Liabilities (16 thru 21)	D
NONCURRENT ASSETS		LONG-TERM DEBT	
8. Investment in Affiliated Companies	A	23. Funded Debt-RUS Notes	A
9. Other Noncurrent Assets		24. Funded Debt-RTB Notes	C
PLANT, PROPERTY, AND EQUIPMENT		25. Funded Debt-FFB Notes	T
10. Telecom. Plant-in-Service		26. Funded Debt-Other	T
	E	Total Long-Term	E
11. Plant Under Construction		27. Debt (23 thru 26)	E
12. Plant Adj., Nonop. Plant, & Goodwill		OTHER LIAB. & DEF. CREDITS	D
13. Less Accumulated Depreciation		28. Other Long-Term Liabilities	
Net Plant	P	EQUITY	
14. (10 thru 12 less 13)	U	29. Cap. Stock Outstand. & Subscribed	P
	B	30. Additional Paid-in-Capital	U
	L	31. Membership and Cap. Certificates	B
	I	32. Patronage Capital Credits	B
	C	33. Retained Earnings or Margins	L
TOTAL ASSETS	C	34. Total Equity (29 thru 34)	L
15. (7+8+9+14)		TOTAL LIABILITIES AND EQUITY (22+27+28+34)	I
	C		C

Total

] % of Total Assets

View

View

"CONFIDENTIAL FINANCIAL INFORMATION – SUBJECT TO PROTECTIVE ORDER IN WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-5, WT Docket No. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION."

USDA-RUS

Confidential

BORROWER DESIGNATION

**FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS**

OR1108

PERIOD ENDING

December, 2013

PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS

YEAR-TO-DATE

ITEM

1. Local Network Services Revenues

a. Voice

b. Video

c. Internet

i. Broadband

ii. Other

2. Network Access Services and Long Distance Revenues

3. Miscellaneous Revenues

4. Other Operating Income

5. Uncollectible Revenues

6. Net Operating Revenues (11 thru 4 less 5)

7. Plant Specific Operations Expense

8. Plant Nonspecific Operations Expense

(Excluding Depreciation & Amortization)

9. Customer Operations Expense

10. Corporate Operations Expense

11. Other Operating Expenses

12. Total Operating Expenses (7 thru 11)

13. Operating Income or Margins (6 less 12)

14. Nonoperating/Nonregulated Net Income

15. EBIDTA (13 + 14)

16. Depreciation Expense

17. Amortization Expense

18. EBIT (15 - 16 - 17)

19. Interest on Funded Debt

20. Other Interest Expense

21. Taxes

a. Property

b. Income

22. Total Net Income or Margins

(18-19-20-21)

23 Dividends Declared (Common)

24 Dividends Paid

25 Transfers to Patronage Capital

26 Principal Payments on Long Term Debt and Capital Leases

27 TIER (19 + 20 + 22) / (19 + 20)

Confidential

R

E

D

A

C

T

E

D

For

P

U

B

L

I

C

View

"CONFIDENTIAL FINANCIAL INFORMATION – SUBJECT TO PROTECTIVE ORDER IN WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-5, WT Docket No. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION."

USDA-RUS

**FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS**

BORROWER DESIGNATION

OR1108

PERIOD ENDING

December, 2013

PART C. SERVICES

No.	SERVICE OFFERINGS	1. RATES		2. SUBSCRIBERS		Total (c)
		Residential	Business	Residential	Business	
		(a)	(b)	(a)	(b)	
	Broadband Data Packages					
1						
	Double Play - Video/Broadband Data					
2	9m/1m					
	Double Play - Voice/Broadband Data					
3	5m/512k	R		R		
4	3m/1m	E		E		
5	10m/512k	D		D		
6	5m/1k	A		A		
7	12M/1M	C		C		
8	1.5m/512k	T		T		
9	512k/512k	E		E		
10	6m/1m	D		D		
11	3m/512k	For		For		
12	6m/512k	P		P		
13	1m/512k	U		U		
14	1m/384k	B		B		

Confidential

View

View

USDA-RUS

**FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS**

BORROWER DESIGNATION

OR1108

PERIOD ENDING

December, 2013

PART C. COMMUNITIES

No.	Community	County	State	No. Broadband Data Customers	Broadband Application
1	Estacada city				
2	Other Area				
3	Elkton city				
4	Haines city				
		R	R		
		E	E		
		D	D		
		A	A		
		C	C		
		T	T		
		E	E		
		D	D		
		Hard	Hard		
		Copy	Copy		
		To	To		
		Be	Be		
		Mailed	Mailed		

Confidential

USDA-RUS

FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS

BORROWER DESIGNATION

OR1108

PERIOD ENDING

December, 2013

PART D. STATEMENT OF CASH FLOWS

1.	Beginning Cash
CASH FLOWS FROM OPERATING ACTIVITIES:	
2.	Net Income
<i>Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities</i>	
3.	Add: Depreciation
4.	Add: Amortization
5.	Other (Explain)
<i>Changes in Operating Assets and Liabilities:</i>	
6.	Decrease/(Increase) in Accounts Receivable
7.	Decrease/(Increase) in Materials and Inventory
8.	Decrease/(Increase) in Other Current Assets
9.	Increase/(Decrease) in Accounts Payable
10.	Increase/(Decrease) in Other Current Liabilities
11.	Net Cash Provided/(Used) by Operations
CASH FLOWS FROM FINANCING ACTIVITIES:	
12.	Decrease/(Increase) in Notes Receivable
13.	Increase/(Decrease) in Notes Payable
14.	Plus/(Less) Net Increase/(Decrease) in Long Term Debt (including current maturities)
15.	Plus: Increase/(Less: Decrease) in Capital Stock, Paid-in Capital or Membership and Capital Certificates
16.	Less: Payment of Dividends
17.	Other (Explain) Dividends Paid
18.	Net Cash Provided/ (Used) by Financing Activities
CASH FLOWS FROM INVESTING ACTIVITIES:	
19.	Net Capital Expenditures
20.	Long-Term Investments
21.	Other (Explain)
22.	Net Cash Provided (Used) by Investing Activities
23.	Net Increase/ (Decrease) in Cash
24.	Ending Cash

R
E
D
A
C
T
E
D
For
P
U
B
L
I
C
View

"CONFIDENTIAL FINANCIAL INFORMATION – SUBJECT TO PROTECTIVE ORDER IN WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-5, WT Docket No. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION."

**FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS**

BORROWER DESIGNATION

PERIOD ENDING

PART E. BIP PERFORMANCE MEASURES

	New Broadband Service	Improved Broadband Service
1. Number of households subscribing to		
2. Number of businesses subscribing to		
3. Number of educational providers receiving		
4. Number of libraries receiving		
5. Number of health care providers receiving		
6. Number of public safety providers receiving		

Confidential

USDA-RUS

**FINANCIAL AND STATISTICAL REPORT
FOR BROADBAND BORROWERS**

BORROWER DESIGNATION

OR1108

PERIOD ENDING

December, 2013

Notes to Operating Report - Broadband