PUBLIC UTILITY COMMISSION OF OREGON 201 HIGH STREET SE SUITE 100 PO BOX 1088 SALEM, OREGON 97308-1088 (503) 378-8959



# APPLICATION FOR TRANSFER OF CERTIFICATE OF AUTHORITY TO PROVIDE TELECOMMUNICATIONS SERVICE IN OREGON

**INSTRUCTIONS:** Complete every applicable section of this application. Attach additional documents and/or sheets to complete responses (if needed). You will be notified when the Commission receives your application, and again when it has been processed. After accepting this application, the Commission will publish notice per ORS 759.020(2).

Desired Effective Date: January 1, 2017

To guarantee processing by the effective date, the Commission must receive an application at least 60 days prior to the desired effective date unless Commission Staff and Applicant have agreed to an earlier effective date. The Commission allows filing and notice prior to effective date of transaction. The Commission will issue an order on the desired effective date unless Applicant files a notice of extension or withdraws the application.

## SECTION 1 - Transferee/Surviving Entity Information

### 1. EXACT LEGAL NAME OF SURVIVING ENTITY OR TRANSFEREE:

Canby Telephone Association

Applicant's Assumed Business Name(s), if any (e.g., dba, aka) (must be registered with the Corporation Division):

DirectLink, DirectLink Long Distance

Applicant's Type of Legal Entity (e.g., corporation, limited partnership):

cooperative corporation

**Business Address:** 

190 SE 2nd, Canby, OR 97013

Phone: 503-266-8111

Email: djewell@canbytel.com

### 2. NAME AND ADDRESS OF PERSON TO CONTACT FOR MORE INFORMATION REGARDING THIS APPLICATION:

Richard Finnigan

2112 Black Lake Blvd. SW, Olympia, WA 98512

Phone: 360-956-7001

Email: rickfinn@localaccess.com

# 3. NAME AND ADDRESS OF PERSON TO CONTACT FOR REGULATORY INFORMATION (Commission will send requests for information to this person):

Richard Finnigan

2112 Black Lake Blvd. SW, Olympia, WA 98512

Phone: 360-956-7001

Email: rickfinn@localaccess.com

### 4. AFFILIATED INTERESTS:

As of the date of the transfer, will you be, or are you now, or have you ever been affiliated with any provider of telecommunications service that serves Oregon? If so, who? When? Describe affiliation. Affiliated interest is defined in OAR 860-032-0001.

As of the date of transfer, no. Currently, Mt. Angel is a wholly owned subsidiary of Canby.

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## 5. PREVIOUS CERTIFICATES OF AUTHORITY:

List each certificate of authority previously granted by the Oregon PUC to Applicant and to each affiliated entity, under a legal name, an assumed business name, or any other name. Include all certificates whether or not canceled. For each certificate provide the name of entity, docket number, and order number.

Name of Entity	Docket Number	Order Number
Canby Telephone Association	UM 192	88-583
Canby Telephone Association	CP 905	01-276
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# SECTION 2 - Transferor/Transferring Entity Information

By completing this information the Transferor acknowledges that it will no longer have authority and cannot provide the telecommunications services that are transferred.

## 1. EXACT LEGAL NAME OF TRANSFERRING OR MERGING ENTITY (TRANSFEROR):

Mt. Angel Telephone Company

Applicant's Assumed Business Name(s), if any (e.g., dba, aka) (must be registered with the Corporation Division):

Applicant's Type of Legal Entity (e.g., corporation, limited partnership):

corporation

**Business Address:** 

155 North Garfield, Mt. Angel, OR 97362

Phone: 503-845-2291

Email: djewell@canbytel.com

## 2. NAME AND ADDRESS OF PERSON TO CONTACT FOR MORE INFORMATION REGARDING THIS APPLICATION:

Richard Finnigan

2112 Black Lake Blvd. SW, Olympia, WA 98512

Phone: 360-956-7001

Email: rickfinn@localaccess.com

# 3. NAME AND ADDRESS OF PERSON TO CONTACT FOR REGULATORY INFORMATION (Commission will send requests for information to this person):

Richard Finnigan

2112 Black Lake Blvd. SW, Olympia, WA 98512

Phone: 360-956-7001

Email: rickfinn@localaccess.com

### 4. CERTIFICATES OF AUTHORITY TO BE TRANSFERRED:

assumed business name, or any other name. Include all certificates whether or not canceled. For each certificate provide the name of entity, docket number, and order number. Name of Entity Docket Number Order Number Mt. Angel Telephone Company **UM 66** 86-1191 Yes No b. Do you request that ALL authority to provide telecommunications service be transferred? c. If response to 4.b. is No, describe what authority is to be transferred and what authority is to be retained by the Transferor. Note: The transferring entity (Transferor) will no longer be authorized to provide the telecommunications services that are transferred. SECTION 3 - Nature of Transaction between Transferee and Transferor (describe in detail) Canby Telephone Association is acquiring the assets of Mt. Angel Telephone Company effective midnight, December 31, 2016. Please use additional sheets if necessary to fully answer any item.

a. List each certificate of authority previously granted by the Oregon PUC to Transferor, under its legal name, an

## SECTION 4 - Conditions of a Certificate of Authority

As a condition of a certificate of authority, certificate holder must comply with all applicable Commission rules and state law, as well as conditions listed in the certificate. The surviving entity (Transferee) is responsible for the annual PUC fee and all other fees incurred by the transferring entity (Transferor) as of the date the Commission approves the transfer.

For your convenience, following is a summary of some conditions from OAR 860 Divisions 32 and 33. Additional conditions may be specified in the certificate.

### Certificate holder must:

- Provide only the telecommunications service authorized in the certificate.
- Respond in a timely manner to Commission inquiries.
- Notify the Commission of changes to the certificate holder's name, address, email, or telephone number.
- Maintain its books and records according to the applicable rules of the Commission, and keep its books and records
  open to inspection by the Commission to the extent necessary to verify information required of the certificate holder.
- Meet service standards set forth in applicable Commission rules, including OAR 860-032-0012.
- Submit required reports in a timely manner, and timely pay all Commission taxes, fees, assessments, access charges, and subsidies pursuant to Oregon law or Commission rules, orders, tariffs, or price lists.
- Pay an annual fee to the Commission pursuant to the Commission's rules. This fee will be based on the certificate
  holder's annual gross retail intrastate revenues and will be no less than \$100 per calendar year. Certificate holder
  must collect the fee by charging an equitable amount to each retail customer and describe the amount of the
  apportioned charge on each retail customer's bill, pursuant to the Commission's rules.
- Pay a quarterly amount to the Oregon Universal Service Fund based on a Commission-approved surcharge percentage assessed on all retail telecommunications services sold in Oregon pursuant to ORS 759.425(4).
- Ensure that the Residential Service Protection Fund surcharge is remitted monthly to the Commission. This surcharge is assessed against each paying retail subscriber at a rate that is set annually by the Commission.

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Applicant understands that all services provided by Applicant must comply with all applicable Commission rules and state law, and with conditions of the certificate (check box at left).

Signature of Person Authorized to Represent Transferee/Surviving Entity	Title Attorney
Typewritten Name Richard A. Finnigan	Date It/in/ic
Signature of Person Authorized to Represent Transferor/Transferring Entity	Title Attorney
Typewritten Name Richard A. Finnigan	Date 11/17/16

By signing this document I certify that I am a legal representative of this entity and that by transferring the authority to provide telecommunications to the above noted surviving entity, the transferring entity no longer has authority to provide telecommunications services that are transferred.

