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Matthew W. Perkins

October 18, 2004

Via Facsimile, Electronically, and U.S. Mail

Ms. Annette Taylor Oregon Public Utility Commission P.O. Box 2148 Salem OR 97308-2148

Re:

In the Matter of Oregon Electric Utility Company, LLC, et al., Application for

Authorization to Acquire Portland General Electric Company

Docket No. UM 1121

Dear Ms. Taylor:

Enclosed please find an original and six copies of the Motion to Admit the Deposition Transcripts of Peggy Fowler and Kelvin Davis on behalf of the Industrial Customers of Northwest Utilities in the above-captioned Docket.

Please return one file-stamped copy of the document in the self-addressed, stamped envelope provided. Thank you for your assistance.

Sincerely yours,

Matthew Perkins

Enclosures

cc: Service List (via email)

BEFORE THE PUBLIC UTILITY COMMISSION

OF OREGON

UM 1121

In the Matter of	
) THE INDUSTRIAL CUSTOMERS OF
OREGON ELECTRIC UTILITY) NORTHWEST UTILITIES' MOTION TO
COMPANY, LLC, et al.,) ADMIT TRANSCRIPTS OF THE
) DEPOSITIONS OF PEGGY FOWLER AND
Application for Authorization to Acquire) KELVIN DAVIS
Portland General Electric Company.	
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INTRODUCTION

Pursuant to OAR § 860-013-0031, the Industrial Customers of Northwest Utilities ("ICNU") submits this Motion to Admit the Transcripts of the Depositions of Peggy Fowler and Kelvin Davis into the evidentiary record in this proceeding ("Motion"). Ms. Fowler is the President and Chief Executive Officer ("CEO") of Portland General Electric Company ("PGE" or the "Company"). Ms. Fowler's deposition was taken on July 12, 2004. Mr. Davis has appeared as a witness in this proceeding on behalf of Oregon Electric Utility Company *et al.* ("Oregon Electric" or the "Applicants"). Mr. Davis' deposition was taken on July 1, 2004. The deposition transcripts are relevant and non-prejudicial evidence, and ICNU moves that the Administrative Law Judge ("ALJ") admit the transcripts of these depositions and the accompanying exhibits into the evidentiary record in this proceeding.

Requiring the parties to address, and the ALJ to rule on, every one of the objections put forth by counsel for Oregon Electric and PGE during the depositions is unnecessary and unduly burdensome. ICNU urges the ALJ to admit the deposition transcripts

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into evidence and specifically preserve the objections made during the deposition for the

purposes of this proceeding and any appeal. Under these circumstances, if any party in this

proceeding uses any statement from the deposition to which another party objects, the ALJ and

the Commission can take that objection into account in assigning weight to that statement.

Furthermore, in the event that the Commission relies on such a statement to formulate a finding

of fact, the objecting party has the ability to challenge that finding of fact upon reconsideration

or appeal. In the alternative, if the ALJ decides to not admit the deposition transcript and

specifically preserve the objections therein, then ICNU urges the ALJ to rule on each of the

objections put forth by counsel for Oregon Electric and PGE.

DISCUSSION

OEC § 45.250(1)(b) permits deposition testimony of a party, or any officer of a

party, to be used by adverse party "for any purpose" so far as that evidence is admissible. ALJs

have the authority to make evidentiary rulings and admit relevant evidence into the evidentiary

record of this proceeding. OAR § 860-012-0035(1)(d). Under the Commission rules, relevant

evidence:

(a) Means evidence tending to make the existence of any fact at

issue in the proceeding more or less probable than it would be

without the evidence:

(b) Is admissible if it is of a type commonly relied upon by

reasonably prudent persons in the conduct of their serious affairs;

and

(c) May be excluded if the probative value is substantially

outweighed by the danger of unfair prejudice, confusion of the

issues, or by undue delay.

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OAR § 860-014-0045(1). The transcripts and exhibits of Mr. Davis' and Ms. Fowler's

depositions are relevant and are the type of evidence that a reasonably prudent person commonly

relies upon in the conduct of his or her serious affairs. Furthermore, the probative value of the

deposition transcripts is not substantially outweighed by the danger of undue prejudice.

confusion of the issues, or by undue delay. In addition, it is simply unnecessary for the ALJ to

rule on each and every one of the objections made during the depositions prior to admitting the

transcripts into the record, particularly given the expansive and frivolous nature of many of the

objections. Under these circumstances, the deposition transcripts should be admitted into the

evidentiary record at this time with a specific preservation of the objections of PGE and Oregon

Electric.

The Transcript of Ms. Fowler's Deposition is Relevant and Admissible Evidence Α.

The deposition transcript reveals that the areas of inquiry in Ms. Fowler's

deposition were entirely appropriate and relevant to this proceeding. PGE's objection and effort

to force ICNU to jump through certain inapplicable procedural hoops to admit Ms. Fowler's

deposition into the record is merely an attempt to achieve indirectly what the Company could not

do by its unsuccessful attempt to prevent the deposition in the first place.

1. ICNU Questioned Ms. Fowler about Topics that are Relevant to the Issue of

Whether the Proposed Transaction is in the Public Interest

Ms. Fowler's deposition involved topics that are relevant to the issues of whether

the proposed acquisition of PGE by Oregon Electric provides a net benefit to PGE customers,

whether the proposed transaction is in the public interest, and whether alternatives exist to the

proposed acquisition by Texas Pacific Group and Oregon Electric. The original Notice of

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FOWLER AND KELVIN DAVIS

Depositions for Ms. Fowler and Mr. Davis stated that "[t]he subject matter of the depositions will be issues raised in the Application and testimony in this Docket regarding the Applicants' request for approval to acquire Portland General Electric Company." Re Oregon Electric, OPUC Docket No. UM 1121, Notice of Depositions of Peggy Fowler, Kelvin Davis, and an Individual from Texas Pacific Group (May 13, 2004). In response to a subsequent ruling from ALJ Logan, ICNU clarified the statement in the notice of deposition and identified specific topics about which it intended to question Ms. Fowler. On June 25, 2004, ALJ Logan issued a ruling allowing the deposition to proceed and finding that ICNU had met its burden to demonstrate that "the information it [sought was] relevant, or reasonably calculated to lead to the discovery of admissible information." Re Oregon Electric, OPUC Docket No. UM 1121, Ruling at 2 (June 25, 2004). Thus, the ALJ already determined that the general areas of inquiry for Ms. Fowler's deposition are "arguably relevant." Id. The transcript of Ms. Fowler's deposition reveals that the issues about which ICNU questioned Ms. Fowler are extremely relevant to this proceeding.

The deposition transcript also reveals that ICNU did not depose Ms. Fowler for the purpose of "annoyance" and that the deposition was not an "unnecessary and undue burden" as PGE had contended in seeking protection of Ms. Fowler. Re Oregon Electric, OPUC Docket No. UM 1121, PGE's Motion for Protective Order and for Shortened Time for Response at 1, 2 (May 21, 2004). Indeed, of the four hours that the ALJ permitted for the deposition, the deposition lasted approximately two hours. Furthermore, the deposition transcript reveals that PGE did not object to any question on the basis of relevance. Although PGE did object to certain questions as beyond the scope of the issues listed in ICNU's notice of deposition, the transcript reveals that those questions related to topics listed in the notice and that they are

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relevant to the proposed transaction. Attachment 1 to this Motion is a chart that lists the

questions to which PGE objected on the basis that those questions were beyond the scope of the

deposition, the topic in the notice to which the question relates, and the reason why the question

is relevant.

Finally, counsel for PGE argued at the October 15, 2004 status conference that

OAR § 860-014-0060(3) required ICNU to designate only certain portions of the deposition

transcript to be offered into evidence. This rule states:

When relevant evidence offered by a party is included in a book, paper, or document containing irrelevant material, the party

offering the exhibit shall plainly designate the matter offered:

(a) If irrelevant material is included in the exhibit which would encumber the record, the exhibit shall not be received in evidence.

The exhibit may be marked for identification, and, if properly

authenticated, the relevant matter may be read into the record;

(b) If the Commission or ALJ directs, a copy of the relevant

portions of the exhibit may be received as evidence. The offering party shall offer copies of the document to all other parties

appearing at the hearing. The parties shall be afforded an opportunity to examine the exhibit and to offer in evidence other

portions of the exhibit found to be relevant.

OAR § 860-014-0060(3). These provisions are inapplicable to the deposition transcripts that

ICNU offers for admission into the evidentiary record. First, the only issue that this rule

addresses is relevant versus irrelevant evidence. Both deposition transcripts are relevant to this

proceeding in their entirety and ICNU is offering the entire transcript of each deposition into

evidence. As such, ICNU is not required to designate the specific "matter" that is being offered.

Second, in the unlikely event that the ALJ determines that some of the questions and answers in

the transcripts are irrelevant and that those portions of the transcript would encumber the record,

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ICNU is willing to submit the relevant portions into the record. PGE's suggestion to undertake such a task prior to an ALJ ruling on the issue, however, is completely unnecessary for both ICNU and the ALJ.

2. A Reasonably Prudent Person Would Rely Upon Statements by PGE's CEO about the Proposed Transaction

The transcript of Ms. Fowler's deposition provides evidence of PGE's perspective on ownership by the Applicants, changes in PGE under Enron's ownership, and plans for PGE should the proposed transaction not be successful. These issues all relate to whether the proposed transaction is in the public interest. Furthermore, statements by PGE's CEO are evidence of the type that reasonably prudent persons rely upon in the conduct of their serious affairs. OAR § 860-014-0045(1)(b). A reasonably prudent person would rely upon statements of the Company's CEO regarding whether the proposed transaction is in the public interest.

3. No Party is Prejudiced by the Inclusion of the Transcript of the Fowler Deposition in the Evidentiary Record

In addition, no party in this proceeding is prejudiced by the inclusion of Ms. Fowler's deposition transcript in the record, nor will the transcript confuse the issues or cause undue delay. In fact, the transcript of Ms. Fowler's deposition fills an important evidentiary void left by the lack of testimony in this Docket by any PGE employee or representative directly relating to the Company's overall perspective on the proposed transaction. Although certain PGE witnesses have testified in response to specific issues raised by Staff or intervenors, none of those witnesses testify generally about the proposed transaction as Ms. Fowler did in her deposition.

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PGE argued at the status conference that the Company would be prejudiced by admission of the deposition transcript because it would have no opportunity to respond to this evidence. PGE's argument lacks merit. First, it is unclear why PGE would need to respond to statements about the proposed transaction made by Ms. Fowler in her deposition. PGE has already reviewed and submitted one correction to the deposition transcript. Second, PGE will have the opportunity for redirect examination if its witnesses are questioned about statements in the transcript. Under these circumstances, PGE will have the opportunity to address questions based on use of the transcript at hearing. As a result, there is no danger of unfair prejudice to PGE. In contrast, however, all customers, including ICNU members, will be prejudiced if the Commission does not have the opportunity to review Ms. Fowler's deposition transcript to

B. The Davis Deposition Transcript is Relevant and Admissible Evidence

discern the views of PGE's CEO on key issues relevant to the proposed transaction.

1. Mr. Davis' Deposition Covered Only Topics that are Relevant to the Proposed Transaction

ICNU questioned Mr. Davis only about topics that were relevant to this proceeding. The transcript reveals that counsel for Oregon Electric objected to only two questions asked by ICNU on the basis of relevance or because the question allegedly was beyond the scope of the OPUC proceeding. Davis Tr. 47:9-13; 48:23 - 49:6. Those questions related to: 1) an article published in the Oregonian on the day of Mr. Davis' deposition in which Texas Pacific Group founding partner David Bonderman called the City of Portland's proposal to acquire PGE a "sham"; and 2) Mr. Davis' thoughts about the City's proposal to acquire PGE. Id. As described above, alternative ownership scenarios are relevant to this proceeding because

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Oregon Electric has asserted that the removal of PGE from Enron ownership is one of the

benefits of the proposed transaction. In short, the questions and statements in Mr. Davis'

deposition transcript are relevant to the issue of whether ownership of PGE by Oregon Electric is

in the public interest.

2. A Reasonably Prudent Person Would Rely Upon Statements of a Deponent

Made Under Oath

Mr. Davis' statements during the deposition are of the kind that a reasonably

prudent person would rely upon in the conduct of their affairs. Mr. Davis was under oath at the

time of his deposition and has appeared as a witness in this proceeding for the Applicants. It is

reasonable to conclude that statements made by a deponent under these circumstances can be

relied upon.

3. No Party is Prejudiced by Including the Davis Deposition Transcript in the

Evidentiary Record

Finally, the probative value of the deposition transcript is not outweighed by the

danger of prejudice to a party, confusion of the issues, or undue delay. As described above,

Oregon Electric is not prejudiced by admission of the transcript into the evidentiary record. The

transcript merely contains statements by Mr. Davis regarding the proposed transaction. This is

not the type of evidence to which Oregon Electric or any other party needs to "respond."

Furthermore, if the ALJ preserves the objections made by counsel for Oregon Electric during the

deposition, then Oregon Electric maintains the right to challenge any use of the deposition

transcript in the future. Under these circumstances, there is no basis to exclude the transcript of

Mr. Davis' deposition from the evidentiary record.

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FOWLER AND KELVIN DAVIS

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C. Ruling on Every One of the Objections Made During the Depositions is Unnecessary

and Unduly Burdensome

Counsel for Oregon Electric suggested at the October 15, 2004 status conference

that the ALJ should rule on each of the objections made during the deposition prior to admitting

the deposition transcript into evidence. This approach is unnecessary and unduly burdensome.

ICNU recently admitted the transcript of the deposition of PacifiCorp witness Andrea Kelly into

the evidentiary record in a rate case pending before the Washington Utilities and Transportation

Commission in Docket UE-032065. The ALJ in that proceeding did not rule on each and every

objection in the deposition transcript prior to admitting the transcript into the evidentiary record.

Instead, the parties understood that the objections made during the deposition had been

preserved.

ICNU urges the ALJ to admit the deposition transcripts in their entirety into the

record at this time but specifically preserve the objections asserted by counsel for PGE and

Oregon Electric during the deposition. If any party makes use of a statement from the deposition

transcript to which PGE or Oregon Electric objects, the ALJ and the Commission can take into

account the objection in assigning any weight to that particular statement. In addition, to the

extent that any statement to which PGE or Oregon Electric objects is used by the Commission

for any factual findings in this proceeding, the objections asserted during the deposition will be

preserved for the purposes of appeal. Under these circumstances, PGE's and Oregon Electric's

rights are protected without requiring the parties and the ALJ to address the numerous objections

made during the depositions.

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ICNU does not believe that ruling on all of the objections made during the

deposition is necessary prior to admitting the deposition transcript into evidence, especially

considering that, as the deposition transcript reveals, a substantial number of the objections made

during each deposition were frivolous. Nevertheless, if PGE and Oregon Electric are unwilling

to agree to the approach described above, ICNU recommends that the ALJ rule on each of the

objections put forth by PGE and Oregon Electric in both deposition transcripts.

CONCLUSION

The transcript of the depositions of Peggy Fowler and Kelvin Davis are relevant

evidence that is appropriate for admission into the evidentiary record in this proceeding. The

transcripts reveal that the deponents were questioned about topics that are relevant to the ultimate

issue in this proceeding: whether ownership of PGE by Oregon Electric is in the public interest.

The deposition transcripts are reliable and probative evidence and Oregon Electric and PGE will

not be prejudiced by the inclusion of this evidence in the record.

ICNU requests the opportunity to respond to the answers of PGE and the

Applicants to this Motion to the extent that additional objections may be raised.

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WHEREFORE, ICNU requests that the ALJ grant this Motion to admit the transcripts of the depositions of Peggy Fowler and Kelvin Davis into the evidentiary record.

Dated this 18th day of October, 2004.

Respectfully submitted,

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Of Attorneys for the Industrial Customers of Northwest Utilities

Attachment 1 Fowler Deposition –Scope of the Deposition Objections

Transcript Cite	Question	 Topic Listed in Notice of Deposition Relevance
Tr. 13:16	Do you believe that a golden-share approach should also be adopted?	 Effectiveness of Enron merger conditions Applicants have proposed ring-fencing conditions in this proceeding
Tr. 15:12	Can I conclude from your answer that PGE does not have any long-term plans other than your IRP or something of that nature?	 Plans for PGE should the transaction not be successful Risk of short-term ownership by OEUC conflicting with long-term planning
Tr. 25:20	And what is your reaction to [the City of Portland's June 23, 2004] letter to the Commission?	 Alternatives to the proposed transaction The letter discusses the benefits of public ownership
Tr. 27:13	Are there any discussions with Scottish Power as it relates to the ownership of PGE?	 Alternatives to the proposed transaction Parties have raised the issue of the sale of PGE at the end of OEUC ownership
Tr. 34:6	What are the employees' opinion of the proposed TPG acquisition?	 Whether PGE will benefit from ownership by the Applicants Service quality and reliability is dependent on PGE employees
Tr. 41:24	Have you had any discussions with the individuals at TPG involved in the transaction with regard to rate credits to customers?	 Benefits of the proposed transaction The amount of the rate credit is an issue in this proceeding
Tr. 43:8	Do you believe that TPG should offer customers rate credits?	 Benefits of the proposed transaction The amount of the rate credit is an issue in this proceeding
Tr. 45:3	Do you believe that all stake holders would have been better off if the OPUC had denied Enron's Application?	 The situation PGE currently is facing under Enron's ownership Applicants have asserted removal from Enron control as a benefit

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that I have this day served the foregoing Motion to Admit Deposition Transcripts of Peggy Fowler and Kelvin Davis on behalf of the Industrial Customers of Northwest Utilities upon the parties, shown below, on the official service list for Docket No. UM 1121, by causing the same to be electronically served on all parties who have an email address on the official service list, and by U.S. Mail, postage-prepaid, to those parties who do not have an email address on the official service list.

Dated at Portland, Oregon, this 18th day of October, 2004.

Matthew Perkins

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